

REPORTING PERIOD: 01/01/2023 to 12/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): ALL INSTITUTION ACCOUNTS

#### **Voting Statistics**

|  | Total | Percent |
|--|-------|---------|
| Votable Meetings                                   | 27    |         |
| Meetings Voted                                     | 25    | 92.59%  |
| Meetings with One or More Votes Against Management | 12    | 44.44%  |
| Votable Ballots                                    | 27    |         |
| Ballots Voted                                      | 25    | 92.59%  |

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

|                          | Manageme | ent Proposals | Shareholder | Proposals | All Pro | posals  |
|--------------------------|----------|---------------|-------------|-----------|---------|---------|
|                          | Total    | Percent       | Total       | Percent   | Total   | Percent |
| Votable Proposals        | 423      |               | 55          |           | 478     |         |
| Proposals Voted          | 393      | 92.91%        | 55          | 100.00%   | 448     | 93.72%  |
| FOR Votes                | 342      | 80.85%        | 23          | 41.82%    | 365     | 76.36%  |
| AGAINST Votes            | 37       | 8.75%         | 32          | 58.18%    | 69      | 14.44%  |
| ABSTAIN Votes            | 0        | 0.00%         | 0           | 0.00%     | 0       | 0.00%   |
| WITHHOLD Votes           | 0        | 0.00%         | 0           | 0.00%     | 0       | 0.00%   |
| Votes WITH Management    | 355      | 83.92%        | 32          | 58.18%    | 387     | 80.96%  |
| Votes AGAINST Management | 38       | 8.98%         | 23          | 41.82%    | 61      | 12.76%  |
| Significant Votes        | 0        | 0.00%         | 0           | 0.00%     | 0       | 0.00%   |

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

#### **Proposal Statistics**

|                        |       | able<br>osals | Prop<br>Vot |         | Manage<br>Propo |         | Shareh<br>Propo |         | Votes Ag<br>Manage |         | Signifi<br>Vot |         |
|------------------------|-------|---------------|-------------|---------|-----------------|---------|-----------------|---------|--------------------|---------|----------------|---------|
|                        | Total | Percent       | Total       | Percent | Total           | Percent | Total           | Percent | Total              | Percent | Total          | Percent |
| Audit Related          | 22    | 4.60%         | 20          | 4.18%   | 22              | 4.60%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Capitalization         | 42    | 8.79%         | 41          | 8.58%   | 42              | 8.79%   | 0               | 0.00%   | 5                  | 1.05%   | 0              | 0.00%   |
| Company Articles       | 7     | 1.46%         | 5           | 1.05%   | 4               | 0.84%   | 3               | 0.63%   | 0                  | 0.00%   | 0              | 0.00%   |
| Compensation           | 93    | 19.46%        | 87          | 18.20%  | 90              | 18.83%  | 3               | 0.63%   | 18                 | 3.77%   | 0              | 0.00%   |
| Corporate Governance   | 0     | 0.00%         | 0           | 0.00%   | 0               | 0.00%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Director Election      | 213   | 44.56%        | 205         | 42.89%  | 213             | 44.56%  | 0               | 0.00%   | 13                 | 2.72%   | 0              | 0.00%   |
| Director Related       | 12    | 2.51%         | 7           | 1.46%   | 9               | 1.88%   | 3               | 0.63%   | 4                  | 0.84%   | 0              | 0.00%   |
| E&S Blended            | 13    | 2.72%         | 13          | 2.72%   | 0               | 0.00%   | 13              | 2.72%   | 2                  | 0.42%   | 0              | 0.00%   |
| Environmental          | 4     | 0.84%         | 4           | 0.84%   | 0               | 0.00%   | 4               | 0.84%   | 2                  | 0.42%   | 0              | 0.00%   |
| Miscellaneous          | 0     | 0.00%         | 0           | 0.00%   | 0               | 0.00%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Mutual Funds           | 0     | 0.00%         | 0           | 0.00%   | 0               | 0.00%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| No Research            | 1     | 0.21%         | 0           | 0.00%   | 1               | 0.21%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Non-Routine Business   | 6     | 1.26%         | 6           | 1.26%   | 5               | 1.05%   | 1               | 0.21%   | 2                  | 0.42%   | 0              | 0.00%   |
| Routine Business       | 30    | 6.28%         | 25          | 5.23%   | 30              | 6.28%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Social                 | 29    | 6.07%         | 29          | 6.07%   | 1               | 0.21%   | 28              | 5.86%   | 14                 | 2.93%   | 0              | 0.00%   |
| Strategic Transactions | 4     | 0.84%         | 4           | 0.84%   | 4               | 0.84%   | 0               | 0.00%   | 1                  | 0.21%   | 0              | 0.00%   |
| Takeover Related       | 2     | 0.42%         | 2           | 0.42%   | 2               | 0.42%   | 0               | 0.00%   | 0                  | 0.00%   | 0              | 0.00%   |
| Total                  | 478   | 100.00%       | 448         | 93.72%  | 423             | 88.49%  | 55              | 11.51%  | 61                 | 12.76%  | 0              | 0.00%   |

#### **Adobe Inc.**

Meeting Date: 04/20/2023 **Record Date:** 02/21/2023

Country: USA

**Primary Security ID:** 00724F101

Meeting Type: Annual

Meeting ID: 1723128

Primary CUSIP: 00724F101

Primary ISIN: US00724F1012

Primary SEDOL: 2008154

Earliest Cutoff Date: 04/18/2023

Votable Shares: 12,204

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Proxy Level: 3

\*Shares on Loan: 0 Shares Instructed: 12,204 Shares Voted: 12,204

Ticker: ADBE

| Proposal<br>Number | Significant<br>Vote | Proposal Text                                    | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|-----------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1a                 |                     | Elect Director Amy Banse                         | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1b                 |                     | Elect Director Brett Biggs                       | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director non   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1c                 |                     | Elect Director Melanie Boulden                   | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1d                 |                     | Elect Director Frank Calderoni                   | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1e                 |                     | Elect Director Laura Desmond                     | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1f                 |                     | Elect Director Shantanu Narayen                  | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director non   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1g                 |                     | Elect Director Spencer Neumann                   | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1h                 |                     | Elect Director Kathleen Oberg                    | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the directo  | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| <b>1</b> i         |                     | Elect Director Dheeraj Pandey                    | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the directo  | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1j                 |                     | Elect Director David Ricks                       | Mgmt            | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | r nominees is   | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director nor   | minees is warra | anted.              |             |         |                             |                         |                     |                         |                           |                        |

### **Adobe Inc.**

| Proposal<br>Number            | Significant<br>Vote         | Proposal Text   |                 | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|-------------------------------|-----------------------------|---|-----------------|----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1k                            |                             | Elect Director Daniel Rosensw                                   | eig             | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                               |                             | Voting Policy Rationale: A vote                                 | FOR the direct  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|                               |                             | Blended Rationale: A vote FOR                                   | the director no | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 11                            |                             | Elect Director John Warnock                                     |                 | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                               |                             | Voting Policy Rationale: A vote                                 | FOR the direct  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|                               |                             | Blended Rationale: A vote FOR                                   | the director no | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 2                             |                             | Amend Omnibus Stock Plan  |                 | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 3                             |                             | Ratify KPMG LLP as Auditors                                     |                 | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 4                             |                             | Advisory Vote to Ratify Named<br>Executive Officers' Compensat  |                 | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 5                             |                             | Advisory Vote on Say on Pay<br>Frequency                        |                 | Mgmt           | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |
| 6                             |                             | Report on Hiring of Persons w<br>Arrest or Incarceration Record |                 | SH             | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| Ballot Deta                   | nils                        |   |                 |                |                     |             |          |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, IA | Account Detail<br>A Number) | Custodian<br>Account Number                                     | Ballot Status   | Instructe      | d                   | Approve     | d        | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                  |
| FORUM ONE S<br>CHAMP, 45863   | QUARE MEGATRE!              | ND 0045863  | Confirmed       | Auto-Instru    | ıcted               | Auto-Appr   | roved    |                             |                         | 12,20               | 14                      | 12,2                      | 204                    |
| C. II II / 15005              | •                           |   |                 | 03/29/202      | 3                   | 03/29/202   | 13       |                             |                         |                     |                         |                           |                        |
|                               |                             |   |                 |                |                     |             |          |                             | Total Shares:           | 12,20               | 04                      | 12,2                      | 204                    |

# **Air Liquide SA**

| Meeting Date: 05/03/2023                                 | Country: France                      | Ticker: AI                                   | Proxy Level: N/A                        |
|--|--------------------------------------|--|---|
| <b>Record Date:</b> 04/28/2023                           | Meeting Type: Annual/Special         | Meeting ID: 1717272                          |   |
| Primary Security ID: F01764103                           | Primary CUSIP: F01764103             | <b>Primary ISIN:</b> FR0000120073            | Primary SEDOL: B1YXBJ7                  |
|  |                                      |  |   |
| Earliest Cutoff Date: 04/25/2023                         | Total Ballots: 1                     | Voting Policy: ISS                           | Additional Policy:                      |
| Earliest Cutoff Date: 04/25/2023  Votable Shares: 16,710 | Total Ballots: 1  *Shares on Loan: 0 | Voting Policy: ISS Shares Instructed: 16,710 | Additional Policy: Shares Voted: 16,710 |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent        | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|------------------|---------------------|--------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
|                    |                     | Ordinary Business  | Mgmt             | No                  |              |               |                             |                         |                     |                         |                           |                        |
| 1                  |                     | Approve Financial Statements and Statutory Reports                 | Mgmt             | Yes                 | For          | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approvant lack of concerns. | ral of the annua | al accounts a       | are warrante | ed due to the | unqualified au              | ıditors' opini          | on                  |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval of lack of concerns.     | the annual acc   | counts are w        | arranted du  | e to the unqu | ualified auditor            | s' opinion ai           | nd                  |                         |                           |                        |
| 2                  |                     | Approve Consolidated Financial<br>Statements and Statutory Reports | Mgmt             | Yes                 | For          | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approvant lack of concerns. | val of the annua | al accounts a       | are warrante | ed due to the | unqualified au              | ıditors' opini          | on                  |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval of lack of concerns.     | the annual acc   | counts are w        | arranted du  | e to the unqu | ualified auditor            | s' opinion ai           | nd                  |                         |                           |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Air Liquide SA**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------------|---------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 3                  |                     | Approve Allocation of Income and Dividends of EUR 2.95 per Share  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 4                  |                     | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital   | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 5                  |                     | Elect Catherine Guillouard as Director  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the election. concerns (Items 5, 6, 7, 8 and 9).                             | s of these inde | ependent no         | minees are I | warranted in   | the absence o               | f specific              |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the elections of to (Items 5, 6, 7, 8 and 9).                                      | hese independ   | dent nomine         | es are warra | anted in the a | absence of spe              | ecific concern          | 5                   |                         |                           |                        |
| 6                  |                     | Elect Christina Law as Director   | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the election. concerns (Items 5, 6, 7, 8 and 9).                             | s of these inde | ependent no         | minees are I | warranted in   | the absence o               | f specific              |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the elections of to (Items 5, 6, 7, 8 and 9).                                      | these independ  | dent nomine         | es are warra | anted in the a | absence of spe              | ecific concern          | 5                   |                         |                           |                        |
| 7                  |                     | Elect Alexis Perakis-Valat as Director  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the election. concerns (Items 5, 6, 7, 8 and 9).                             | s of these inde | ependent no         | minees are I | warranted in   | the absence o               | f specific              |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the elections of to (Items 5, 6, 7, 8 and 9).                                      | these independ  | dent nomine         | es are warra | anted in the a | absence of spe              | ecific concern          | .s                  |                         |                           |                        |
| 8                  |                     | Elect Michael H. Thaman as Director   | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the elections concerns (Items 5, 6, 7, 8 and 9).                             | s of these inde | ependent no         | minees are I | warranted in   | the absence o               | f specific              |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the elections of t<br>(Items 5, 6, 7, 8 and 9).                                    | these independ  | dent nomine         | es are warra | anted in the a | absence of spe              | ecific concern          | 15                  |                         |                           |                        |
| 9                  |                     | Ratify Appointment of Monica de<br>Virgiliis as Director  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the elections concerns (Items 5, 6, 7, 8 and 9).                             | s of these inde | ependent no         | minees are I | warranted in   | the absence o               | f specific              |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the elections of t<br>(Items 5, 6, 7, 8 and 9).                                    | these independ  | dent nomine         | es are warra | anted in the a | absence of spe              | ecific concern          | 95                  |                         |                           |                        |
| 10                 |                     | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning<br>the Absence of New Transactions | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 11                 |                     | Approve Compensation of Benoit<br>Potier, Chairman and CEO from<br>January 1, 2022 to May 31, 2022              | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 12                 |                     | Approve Compensation of Francois<br>Jackow, CEO from June 1, 2022 to<br>December 31, 2022                       | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 13                 |                     | Approve Compensation of Benoit<br>Potier, Chairman of the Board from<br>June 1, 2022 to December 31, 2022       | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 14                 |                     | Approve Compensation Report of Corporate Officers   | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 15                 |                     | Approve Remuneration Policy of CEO  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 16                 |                     | Approve Remuneration Policy of<br>Chairman of the Board   | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 17                 |                     | Approve Remuneration Policy of Directors  | Mgmt            | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Air Liquide SA**

| Proposal<br>Number           | Significant<br>Vote           | Proposal Text   |                                       | Proponent      | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|------------------------------|-------------------------------|---|---------------------------------------|----------------|---------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
|                              |                               | Extraordinary Business  |                                       | Mgmt           | No                  |              |                |                             |                         |                     |                         |                           |                        |
| 18                           |                               | Authorize Decrease in Share Ovia Cancellation of Repurchase Shares  | •                                     | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 19                           |                               | Authorize Issuance of Equity of<br>Equity-Linked Securities with<br>Preemptive Rights up to Aggr<br>Nominal Amount of EUR 470 I | egate                                 | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: Votes<br>guidelines for issuances with p   |                                       |                |                     |              |                |                             | mmended                 |                     |                         |                           |                        |
|                              |                               | Blended Rationale: Votes FOR guidelines for issuances with p  |                                       |                | -                   | -            | -              |                             | ended                   |                     |                         |                           |                        |
| 20                           |                               | Authorize Board to Increase C<br>the Event of Additional Demai<br>Related to Delegation Submitt<br>Shareholder Vote Above       | nd                                    | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: Votes<br>guidelines for issuances with p   |                                       |                |                     |              |                |                             | mmended                 |                     |                         |                           |                        |
|                              |                               | Blended Rationale: Votes FOR guidelines for issuances with p  |                                       |                |                     |              | -              |                             | ended                   |                     |                         |                           |                        |
| 21                           |                               | Authorize Capital Issuances for<br>Employee Stock Purchase Plan   |                                       | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: Votes<br>10-percent recommended guid   | · · · · · · · · · · · · · · · · · · · | yee stock pur  | chase plans         | are warrant  | ed as their pi | roposed volum               | e respect the           | 2                   |                         |                           |                        |
|                              |                               | Blended Rationale: Votes FOR<br>10-percent recommended guid   |                                       | stock purchase | e plans are v       | varranted as | s their propos | sed volume res              | pect the                |                     |                         |                           |                        |
| 22                           |                               | Authorize Capital Issuances for<br>Employee Stock Purchase Plan<br>Reserved for Employees and Officers of International Subsi   | ns<br>Corporate                       | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: Votes<br>10-percent recommended guid   |                                       | yee stock pur  | chase plans         | are warrant  | ed as their pi | roposed volum               | e respect the           | e                   |                         |                           |                        |
|                              |                               | Blended Rationale: Votes FOR<br>10-percent recommended guid   |                                       | stock purchase | e plans are v       | varranted as | their propos   | sed volume res              | pect the                |                     |                         |                           |                        |
|                              |                               | Ordinary Business   |                                       | Mgmt           | No                  |              |                |                             |                         |                     |                         |                           |                        |
| 23                           |                               | Authorize Filing of Required Documents/Other Formalities  |                                       | Mgmt           | Yes                 | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                  | ails                          |   |                                       |                |                     |              |                |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, I | l Account Detail<br>A Number) | Custodian<br>Account Number   | Ballot Status                         | Instructed     | ı                   | Approve      | d              | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                  |
| FORUM ONE S                  | 6QUARE MEGATREI               | ND 0045863  | Confirmed                             | Auto-Instru    | cted                | Auto-App     | roved          |                             |                         | 16,71               | 0                       | 16,7                      | 10                     |
| 2, 1330.                     | -                             |   |                                       | 04/04/2023     | •                   | 04/04/20     | 23             |                             |                         |                     | -                       |                           |                        |

Total Shares:

16,710

16,710

Meeting Date: 06/02/2023 **Record Date:** 04/04/2023

Country: USA

Meeting ID: 1748081

Proxy Level: 3

Primary Security ID: 02079K305

Meeting Type: Annual Primary CUSIP: 02079K305

Primary ISIN: US02079K3059

Primary SEDOL: BYVY8G0

Earliest Cutoff Date: 05/31/2023

Votable Shares: 50,880

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 50,880

Ticker: GOOGL

**Additional Policy:** Shares Voted: 50,880

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal | Mgmt<br>Rec    | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------------|---------------------|----------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1a                 |                     | Elect Director Larry Page   | Mgmt            | Yes                 | For            | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig | •       |                             | -                       | ole                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             | •                       | to                  |                         |                           |                        |
| 1b                 |                     | Elect Director Sergey Brin  | Mgmt            | Yes                 | For            | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig | -       |                             |                         | ole                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             |                         | to                  |                         |                           |                        |
| 1c                 |                     | Elect Director Sundar Pichai  | Mgmt            | Yes                 | For            | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig | •       |                             | -                       | ole                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             | •                       | to                  |                         |                           |                        |
| 1d                 |                     | Elect Director John L. Hennessy   | Mgmt            | Yes                 | For            | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig |         |                             |                         | ple                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             |                         | to                  |                         |                           |                        |
| 1e                 |                     | Elect Director Frances H. Arnold  | Mgmt            | Yes                 | For            | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig |         |                             |                         | ple                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             |                         | to                  |                         |                           |                        |
| 1f                 |                     | Elect Director R. Martin "Marty" Chavez   | Mgmt            | Yes                 | For            | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of       | hare structure  | with dispara        | ate voting rig | -       |                             |                         | ole                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of | ructure with di | isparate voti       | ng rights, wi  |         |                             |                         | to                  |                         |                           |                        |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent  | Votable<br>Proposal                            | Mgmt<br>Rec                            | ISS Rec                          | Additional<br>Policy<br>Rec        | Voting<br>Policy<br>Rec    | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|--|--|--|----------------------------------|------------------------------------|----------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1g                 |                     | Elect Director L. John Doerr   | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST govern<br>due to the company maintaining a multi-class s<br>time-based sunset. A vote FOR the remaining of  | share structure                                  | with dispara                                   | ate voting ri                          | •                                |                                    | -                          | ble                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of  | ructure with d                                   | lisparate voti                                 | ing rights, w                          |                                  |                                    |                            | to                  |                         |                           |                        |
| 1h                 |                     | Elect Director Roger W. Ferguson, Jr.  | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of  | share structure                                  | with dispara                                   | ate voting ri                          | -                                |                                    |                            | ble                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of  | ructure with d                                   | lisparate voti                                 | ing rights, w                          |                                  |                                    |                            | to                  |                         |                           |                        |
| 1i                 |                     | Elect Director Ann Mather  | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST governdue to the company maintaining a multi-class stime-based sunset. A vote FOR the remaining of Blended Rationale: Votes AGAINST governance the company maintaining a multi-class share st | hare structure<br>director nomine<br>committee m | e with dispara<br>ees is warrar<br>embers John | ate voting ri<br>nted.<br>n Hennessy a | ights, which is                  | s not subject to<br>Arnold are war | o a reasonal               | ble                 |                         |                           |                        |
|                    |                     | time-based sunset. A vote FOR the remaining of   |  |  |  |                                  |                                    |                            |                     |                         |                           |                        |
| 1j                 |                     | Elect Director K. Ram Shriram  | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST govern-<br>due to the company maintaining a multi-class s<br>time-based sunset. A vote FOR the remaining of   | share structure                                  | with dispara                                   | ate voting ri                          | -                                |                                    |                            |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of  | ructure with d                                   | lisparate voti                                 | ing rights, w                          |                                  |                                    |                            | to                  |                         |                           |                        |
| 1k                 |                     | Elect Director Robin L. Washington   | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST govern<br>due to the company maintaining a multi-class s<br>time-based sunset. A vote FOR the remaining of  | share structure                                  | with dispara                                   | ate voting ri                          | -                                |                                    |                            | ble                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST governance<br>the company maintaining a multi-class share st<br>time-based sunset. A vote FOR the remaining of  | ructure with d                                   | lisparate voti                                 | ing rights, w                          |                                  |                                    |                            | to                  |                         |                           |                        |
| 2                  |                     | Ratify Ernst & Young LLP as Auditors   | Mgmt   | Yes  | For                                    | For                              |                                    | For                        | For                 | No                      | No                        | No                     |
| 3                  |                     | Amend Omnibus Stock Plan   | Mgmt   | Yes  | For                                    | Against                          |                                    | Against                    | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Based on an evaluation Scorecard (EPSC), a vote AGAINST this propose. The three-year average burn rate is excessive is otherwise considered discretionary) * The planaccelerate vesting                     | al is warranted<br>* The disclosul               | d due to the i                                 | following ke<br>-in-control (          | y factor(s): *<br>("CIC") vestin | * The plan cost<br>ng treatment is | is excessive<br>incomplete | *                   |                         |                           |                        |
|                    |                     | Blended Rationale: Based on an evaluation of t<br>Scorecard (EPSC), a vote AGAINST this propose<br>The three-year average burn rate is excessive<br>is otherwise considered discretionary) * The pla<br>accelerate vesting           | al is warranted<br>* The disclosul               | d due to the i<br>re of change                 | following ke<br>-in-control (          | y factor(s): ¾<br>("CIC") vestin | * The plan cost<br>ng treatment is | is excessive<br>incomplete |                     |                         |                           |                        |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent   | Votable<br>Proposal  | Mgmt<br>Rec   | ISS Rec  | Additional<br>Policy<br>Rec   | Voting<br>Policy<br>Rec   | Vote<br>Instruction                   | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|---|--|---|--|---|---|---------------------------------------|-------------------------|---------------------------|------------------------|
| 4                  |                     | Advisory Vote to Ratify Named<br>Executive Officers' Compensation  | Mgmt  | Yes  | For   | Against  |   | Against   | Against                               | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST the pi<br>for non-CEO NEOs. First, an annual bonus prog<br>disclosed, with the proxy only noting performan<br>the committee's discretionary assessment of pe<br>discretion due to "macroeconomic conditions,"<br>concerns are noted in the annual LTI program,<br>in FY22, with no rationale for the change disclo-<br>excess of the total median CEO pay for the con-<br>was disclosed if absolute TSR were to be negat<br>FY22, which, even on a per-year annualized ba-<br>in performance equity, half of which utilized a in<br>where an equity grant is intended to cover mur<br>grant be in performance equity with a long-ten-<br>total magnitude, even with relative TSR perfor-<br>significant portion of his CEO peers, undermining  | gram was estal<br>nce achieveme<br>erformance. Th<br>NEO base sala<br>which inexplic<br>osed in the pro<br>inpany's peer g<br>tive over the p<br>sis, was relative<br>two-year perfo<br>tiple years of e<br>m performance<br>ming well-belo | blished bases onts after the cough the ES ories were in cably switche oxy. On top o oroup. The re erformance ormance perio equity, share or period. Ado w target, CE | d on ESG ga<br>e fact, with the<br>GG bonus pa<br>creased in F<br>ed to an LTI<br>of that, two<br>velative TSR to<br>period. CEO<br>on Though<br>wholders pre-<br>ditionally, du<br>O Pichai wo | hals. Howeve, the award ap, yout was half Y22 and are mix predom: NEOs receive target was no Pichal receive the target go fer that a siguel to a combi | r, pre-set goal pearing to pay leved at the cor relatively high inantly in time and equity grant on-rigorous and a triennial 60 percent of the pal was rigorous ination of awa. | s were not out based of a mittee's . Further -vested equit is with values of no vesting equity grant if the award was, in situationatage of the ard structure a | ty<br>s in<br>cap<br>in<br>vas<br>ons |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST the proposal is warranted. A number of concerns are noted in the annual pay program for non-CEO NEOs. First, an annual bonus program was established based on ESG goals. However, pre-set goals were not disclosed, with the proxy only noting performance achievements after the fact, with the award appearing to pay out based on the committee's discretionary assessment of performance. Though the ESG bonus payout was halved at the committee's discretion due to "macroeconomic conditions," NEO base salaries were increased in FY22 and are relatively high. Further concerns are noted in the annual LTI program, which inexplicably switched to an LTI mix predominantly in time-vested equity in FY22, with no rationale for the change disclosed in the proxy. On top of that, two NEOs received equity grants with values in excess of the total median CEO pay for the company's peer group. The relative TSR target was non-rigorous and no vesting cap was disclosed if absolute TSR were to be negative over the performance period. CEO Pichai received a triennial equity grant in FY22, which, even on a per-year annualized basis, was relatively large compared to peers. Merely 60 percent of the award was in performance equity, half of which utilized a two-year performance period. Though the target goal was rigorous, in situations where an equity grant is intended to cover multiple years of equity, shareholders prefer that a significant percentage of the grant be in performance equity with a long-term performance period. Additionally, due to a combination of award structure and total magnitude, even with relative TSR performing well-below target, CEO Pichai would realize substantially more pay than a significant portion of his CEO peers, undermining a pay-for-performance philosophy. |   |  |   |  |   |   |                                       |                         |                           |                        |
| 5                  |                     | Advisory Vote on Say on Pay Frequency  Voting Policy Rationale: A vote for the adoption considered a best practice as they give shareho  |   |  |   |  | •   | One<br>Year<br>on-pay votes   | One Year                              | Yes                     | No<br>-                   | No                     |
|                    |                     | Blended Rationale: A vote for the adoption of a considered a best practice as they give shareho  | an ANNUAL say   | r-on-pay fred  | quency is wa  | arranted. Ann  | nual say-on-pa  | y votes are   |                                       |                         |                           |                        |
| 6                  |                     | Report on Lobbying Payments and Policy   | SH  | Yes  | Against   | For  |   | For   | For                                   | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this resolute practices and policies, such as its trade associal management of related risks.  |   |  |   |  |   |   |                                       |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this resolution is and policies, such as its trade association mem management of related risks.  |   |  | -   | -  |   | -   | ces                                   |                         |                           |                        |
| 7                  |                     | Publish Congruency Report on<br>Partnerships with Globalist<br>Organizations and Company Fiduciary<br>Duties   | SH  | Yes  | Against   | Against  |   | Against   | Against                               | No                      | No                        | No                     |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent      | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|----------------|---------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 8                  |                     | Report on Framework to Assess<br>Company Lobbying Alignment with<br>Climate Goals  | SH             | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propo<br>and shareholders would benefit from greater to<br>its climate goals and direct and indirect lobbyin<br>identified. | ransparency of | the compan          | y's framewo  | ork for addres | ssing misaligni             | ments betwe             | en                  |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is<br>shareholders would benefit from greater transp<br>climate goals and direct and indirect lobbying,                  | parency of the | company's fi        | ramework fo  | or addressing  | misalignment                | s between it            | 'S                  |                         |                           |                        |
| 9                  |                     | Report on Risks Related to Fulfilling<br>Information Requests for Enforcing<br>Laws Criminalizing Abortion Access  | SH             | Yes                 | Against      | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 10                 |                     | Report on Risks of Doing Business in<br>Countries with Significant Human<br>Rights Concerns  | SH             | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propo-<br>how the company is managing human rights-re   |                |                     |              | enefit from in | ncreased disclo             | osure regard            | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is<br>the company is managing human rights-related   |                |                     |              | t from increa  | ased disclosure             | regarding h             | ow                  |                         |                           |                        |
| 11                 |                     | Publish Independent Human Rights<br>Impact Assessment of Targeted<br>Advertising Technology  | SH             | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propos<br>shareholders better evaluate the company's manadvertising policies and practices.                                 |                |                     | -            | _              |                             | -                       | )                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is shareholders better evaluate the company's madvertising policies and practices.                                       |                |                     |              | _              |                             | -                       |                     |                         |                           |                        |
| 12                 |                     | Disclose More Quantitative and<br>Qualitative Information on Algorithmic<br>Systems  | SH             | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposition systems and increased reporting would assist s  |                |                     |              |                |                             | _                       | mic                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is systems and increased reporting would assist s  |                |                     |              |                |                             | -                       |                     |                         |                           |                        |
| 13                 |                     | Report on Alignment of YouTube<br>Policies With Online Safety Regulations  | SH             | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propo-<br>information on the company's management of  |                | d, as increas       | ed reporting | would provi    | ide shareholde              | rs with more            | •                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is information on the company's management of  | -              | increased re        | eporting wou | ıld provide sı | hareholders wi              | th more                 |                     |                         |                           |                        |
| 14                 |                     | Report on Content Governance and<br>Censorship   | SH             | Yes                 | Against      | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 15                 |                     | Commission Independent Assessment of Effectiveness of Audit and Compliance Committee   | SH             | Yes                 | Against      | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 16                 |                     | Amend Bylaws to Require Shareholder<br>Approval of Certain Provisions Related<br>to Director Nominations by<br>Shareholders  | SH             | Yes                 | Against      | Against        |                             | Against                 | Against             | No                      | No                        | No                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 17                 |                     | Adopt Share Retention Policy For<br>Senior Executives  | SH        | Yes                 | Against     | For     |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propose may better address concerns about creating a s value. |           |                     | -           |         | ,                           |                         | nt                  |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is v<br>better address concerns about creating a strong    |           | -                   | -           |         |                             | •                       | •                   |                         |                           |                        |
| 18                 |                     | Approve Recapitalization Plan for all<br>Stock to Have One-vote per Share                              | SH        | Yes                 | Against     | For     |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propose preference for a capital structure in which the le    |           |                     | •           |         |                             | eholders'               |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is v<br>preference for a capital structure in which the le |           |                     | •           |         |                             | lers'                   |                     |                         |                           |                        |

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 50,880         | 50,880       |
| CINATI, 15005  |                             |               | 05/20/2023      | 05/20/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 50,880         | 50,880       |

Ticker: AMZN

**Meeting ID:** 1743460

Proxy Level: 4

#### Amazon.com, Inc.

Meeting Date: 05/24/2023

**Record Date:** 03/30/2023

|                    | rimary Security ID: 023135106  |  | Primary CUSIP: 023   | 125106    |                     | Duimanu     | ISIN: US02    | 21251067                    |                         | Duiman, CE          | 110                     |                           |                        |
|--------------------|--|--|----------------------|-----------|---------------------|-------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Primary :          | liest Cutoff Date: 05/22/2023 To   |  | Primary CUSIP: 023   | 133100    |                     | Primary     | 151N: USUZ.   | 31331007                    |                         | Primary SE          | JUL: 20000              | )19                       |                        |
| Earliest Cu        | itoff Date: 05/2   | 22/2023  | Total Ballots: 1     |           |                     | Voting Po   | licy: ISS     |                             |                         | Additional Po       | licy:                   |                           |                        |
| Votable Sh         | nares: 39,150  |  | *Shares on Loan: $0$ |           |                     | Shares In   | structed: 39, | 150                         |                         | Shares Voted        | : 39,150                |                           |                        |
| Proposal<br>Number | Significant<br>Vote  | Proposal Text  |                      | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
| 1a                 |  | Elect Director Jeffrey   | P. Bezos             | Mgmt      | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |  | Elect Director Jeffrey P. Bezos Mgmt Yes For For For For No  Voting Policy Rationale: Votes AGAINST incumbent compensation committee members Edith Cooper, Daniel Huttenlocher, and  Judith McGrath are warranted, in light of the committee's poor response to low vote support for last year's say-on-pay proposal. A vote FOR the remaining director nominees is warranted.  Blended Rationale: Votes AGAINST incumbent compensation committee members Edith Cooper, Daniel Huttenlocher, and Judith McGrath are warranted, in light of the committee's poor response to low vote support for last year's say-on-pay proposal. A vote FOR the remaining director nominees is warranted. |                      |           |                     |             |               |                             |                         |                     |                         | -                         |                        |
| 1b                 |  | Elect Director Andrev  | v R. Jassy           | Mgmt      | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |  | Voting Policy Rationale: Votes AGAINST incumbent compensation committee members Edith Cooper, Daniel Huttenlocher, and Judith McGrath are warranted, in light of the committee's poor response to low vote support for last year's say-on-pay proposal. A vote FOR the remaining director nominees is warranted.   |                      |           |                     |             |               |                             |                         |                     |                         |                           |                        |
|                    | Blended Rationale: Votes AGAINST incumbent compensation commit<br>Judith McGrath are warranted, in light of the committee's poor respo<br>proposal. A vote FOR the remaining director nominees is warranted. |  |                      |           |                     |             |               |                             | -                       |                     |                         |                           |                        |

Country: USA

Meeting Type: Annual

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|--|----------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 1c                 |                     | Elect Director Keith B. Alexander  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum.<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's pod | or response         |             | -       |                             |                         | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response i       |             |         |                             | -                       |                     |                         |                           |                        |  |
| 1d                 |                     | Elect Director Edith W. Cooper   | Mgmt           | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum.<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's poo | or response         |             | -       |                             |                         | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response         |             |         |                             | -                       |                     |                         |                           |                        |  |
| 1e                 |                     | Elect Director Jamie S. Gorelick   | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response i       |             | -       |                             |                         | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response         |             |         |                             |                         |                     |                         |                           |                        |  |
| 1f                 |                     | Elect Director Daniel P. Huttenlocher  | Mgmt           | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incumbent compensation committee members Edith Cooper, Daniel Huttenlocher, and Judith McGrath are warranted, in light of the committee's poor response to low vote support for last year's say-on-pay proposal. A vote FOR the remaining director nominees is warranted.  Blended Rationale: Votes AGAINST incumbent compensation committee members Edith Cooper, Daniel Huttenlocher, and |                |                     |             |         |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the or<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response         |             |         |                             |                         |                     |                         |                           |                        |  |
| 1g                 |                     | Elect Director Judith A. McGrath   | Mgmt           | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum.<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's pod | or response i       |             |         |                             |                         | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response i       |             |         |                             | •                       |                     |                         |                           |                        |  |
| 1h                 |                     | Elect Director Indra K. Nooyi  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum.<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's pod | or response i       |             |         | -                           | -                       | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the or<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response i       |             |         |                             | -                       |                     |                         |                           |                        |  |
| 1i                 |                     | Elect Director Jonathan J. Rubinstein  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incum.<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's pod | or response         |             |         | -                           | -                       | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's pod | or response         |             |         |                             |                         |                     |                         |                           |                        |  |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent      | Votable<br>Proposal | Mgmt<br>Rec   | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|--|----------------|---------------------|---------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 1j                 |                     | Elect Director Patricia Q. Stonesifer  | Mgmt           | Yes                 | For           | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incumb<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's poo | or response t       |               | -              |                             |                         | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's poo | or response t       |               |                |                             | •                       |                     |                         |                           |                        |  |
| 1k                 |                     | Elect Director Wendell P. Weeks  | Mgmt           | Yes                 | For           | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST incumb<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no  | ommittee's poo | or response t       |               |                | •                           | -                       | nd                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST incumbent<br>Judith McGrath are warranted, in light of the co<br>proposal. A vote FOR the remaining director no   | ommittee's poo | or response t       |               |                |                             | -                       |                     |                         |                           |                        |  |
| 2                  |                     | Ratify Ratification of Ernst & Young LLP as Auditors   | Mgmt           | Yes                 | For           | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
| 3                  |                     | Advisory Vote to Ratify Named<br>Executive Officers' Compensation  | Mgmt           | Yes                 | For           | Against        |                             | Against                 | Against             | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote AGAINST this proposal is warranted. Following last year's low say-on-pay vote, the compensation committee engaged with shareholders, disclosed specific feedback, and provided further details surrounding the company's compensation programs and long-term strategy. However, the company did not make material changes to the compensation program to address shareholder concerns. In addition, while CEO Jassy's pay declined following the prior year's mega promotion award, consisting only of base salary and certain perquisites, a review of the pay program reveals persistent concerning features. Specifically, compensation for other NEOs consisted primarily of time-vesting restricted shares, with incentive programs lacking objective performance metrics and quantified goals. In addition, the magnitude of an NEO's recent \$41 million grant is concerning, as it comes only one year after he received an \$81 million sign-on grant.  Blended Rationale: A vote AGAINST this proposal is warranted. Following last year's low say-on-pay vote, the compensation committee engaged with shareholders, disclosed specific feedback, and provided further details surrounding the company's compensation programs and long-term strategy. However, the company did not make material changes to the compensation program to address shareholder concerns. In addition, while CEO Jassy's pay declined following the prior year's mega promotion award, consisting only of base salary and certain perquisites, a review of the pay program reveals persistent concerning features. Specifically, compensation for other NEOs consisted primarily of time-vesting restricted shares, with incentive programs lacking objective performance metrics and quantified goals. In addition, the magnitude of an NEO's recent \$41 million grant is concerning, as it comes only one year after he received an \$81 million sign-on grant. |                |                     |               |                |                             |                         |                     |                         |                           |                        |  |
| 4                  |                     | Advisory Vote on Say on Pay<br>Frequency   | Mgmt           | Yes                 | One Year      | One Year       |                             | One<br>Year             | One Year            | No                      | No                        | No                     |  |
| 5                  |                     | Amend Omnibus Stock Plan   | Mgmt           | Yes                 | For           | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
| 6                  |                     | Report on Climate Risk in Retirement<br>Plan Options   | SH             | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |  |
| 7                  |                     | Report on Customer Due Diligence   | SH             | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR this propos<br>disclosure on how the company is managing ho  |                |                     | lers would be | enefit from in | ncreased trans              | parency and             | ,                   |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing his   |                |                     | vould benefit | t from increa  | sed transpare               | ncy and                 |                     |                         |                           |                        |  |
| 8                  |                     | Revise Transparency Report to Provide<br>Greater Disclosure on Government<br>Requests  | SH             | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |  |
| 9                  |                     | Report on Government Take Down<br>Requests   | SH             | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |  |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent    | Votable<br>Proposal | Mgmt<br>Rec   | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|--------------|---------------------|---------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 10                 |                     | Report on Impact of Climate Change<br>Strategy Consistent With Just<br>Transition Guidelines   | SH           | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposed and how the company considers human capital low-carbon economy as part of its climate strate.  | l management | -                   |               |                |                             |                         | ner                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is<br>how the company considers human capital man<br>low-carbon economy as part of its climate strate  | nagement and |                     |               |                |                             |                         | nd                  |                         |                           |                        |
| 11                 |                     | Publish a Tax Transparency Report  | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 12                 |                     | Report on Climate Lobbying   | SH           | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
| 13                 |                     | Report on Median and Adjusted<br>Gender/Racial Pay Gaps  | SH           | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposallowing them to better measure the progress related risks.   |              |                     |               |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is<br>them to better measure the progress of the co  |              |                     |               |                |                             | _                       |                     |                         |                           |                        |
| 14                 |                     | Report on Cost/Benefit Analysis of<br>Diversity, Equity and Inclusion<br>Programs  | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 15                 |                     | Amend Bylaws to Require Shareholder<br>Approval of Certain Provisions Related<br>to Director Nominations by<br>Shareholders  | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 16                 |                     | Commission Third Party Assessment<br>on Company's Commitment to<br>Freedom of Association and Collective<br>Bargaining   | SH           | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposed disclosure on how the company is managing he   |              |                     | ers would be  | enefit from ir | ncreased trans              | parency and             |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing his   |              |                     | vould benefit | t from increa  | sed transpare               | ncy and                 |                     |                         |                           |                        |
| 17                 |                     | Consider Pay Disparity Between<br>Executives and Other Employees   | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 18                 |                     | Report on Animal Welfare Standards   | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 19                 |                     | Establish a Public Policy Committee  | SH           | Yes                 | Against       | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 20                 |                     | Adopt a Policy to Include<br>Non-Management Employees as<br>Prospective Director Candidates  | SH           | Yes                 | Against       | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposerelated to the treatment of its employees and the second sec |              | -                   |               |                | -                           |                         |                     |                         |                           |                        |

Voting Policy Rationale: A vote FOR this proposal is warranted, as the company continues to face significant controversies related to the treatment of its employees and the proposal would give the board more options for nominating director candidates that it considers could help it in considering worker grievances, potentially reducing risks of reputational damage and protecting shareholder value in the long-term, but without being overly prescriptive. It is not asking for an employee representative on the board, but a policy to include hourly workers among the list of candidates the Nominating and Governance Committee considers for open board positions.

Blended Rationale: A vote FOR this proposal is warranted, as the company continues to face significant controversies related to the treatment of its employees and the proposal would give the board more options for nominating director candidates that it considers could help it in considering worker grievances, potentially reducing risks of reputational damage and protecting shareholder value in the long-term, but without being overly prescriptive. It is not asking for an employee representative on the board, but a policy to include hourly workers among the list of candidates the Nominating and Governance Committee considers for open board positions.

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|-----------|---------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 21                 |                     | Commission a Third Party Audit on Working Conditions   | SH        | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propos<br>third-party auditing on warehouse working cond  |           | d. Sharehold        | ers would be | enefit from in | ocreased disclo             | sure through            | ל                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is<br>third-party auditing on warehouse working cond   |           | areholders w        | ould benefit | t from increa  | sed disclosure              | through                 |                     |                         |                           |                        |
| 22                 |                     | Report on Efforts to Reduce Plastic<br>Use   | SH        | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this proposal is warranted, as shareholders would benefit from additional information on how the company is managing risks related to the creation of plastic waste. |           |                     |              |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is warranted, as shareholders would benefit from additional information on how the company is managing risks related to the creation of plastic waste.       |           |                     |              |                |                             |                         |                     |                         |                           |                        |
| 23                 |                     | Commission Third Party Study and<br>Report on Risks Associated with Use of<br>Rekognition  | SH        | Yes                 | Against      | For            |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propos<br>disclosure on how the company is managing hu  |           |                     | ers would be | enefit from in | ocreased transp             | parency and             |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing hu  |           |                     | ould benefit | t from increa  | sed transparer              | ncy and                 |                     |                         |                           |                        |

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 39,150         | 39,150       |
| G17411 , 15005                                       |                             |               | 05/11/2023      | 05/11/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 39,150         | 39,150       |

#### **ASML Holding NV**

| Meeting Date: 04/26/2023 | Country: Netherlands | Ticker: ASML        | Proxy Level: N/A |
|--------------------------|----------------------|---------------------|------------------|
| Record Date: 03/29/2023  | Meeting Type: Annual | Meeting ID: 1692183 |                  |

 Primary Security ID: N07059202
 Primary CUSIP: N07059202
 Primary ISIN: NL0010273215
 Primary SEDOL: B929F46

Earliest Cutoff Date: 04/17/2023 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 8,163 \*Shares on Loan: 0 Shares Instructed: 8,163 Shares Voted: 8,163

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
|                    |                     | Annual Meeting Agenda   | Mgmt      | No                  |             |         |                             |                         |                     |                         |                           |                        |
| 1                  |                     | Open Meeting  | Mgmt      | No                  |             |         |                             |                         |                     |                         |                           |                        |
| 2                  |                     | Discuss the Company's Business,<br>Financial Situation and Sustainability | Mgmt      | No                  |             |         |                             |                         |                     |                         |                           |                        |
| 3a                 |                     | Approve Remuneration Report   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| 3b                 |                     | Adopt Financial Statements and Statutory Reports                          | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| 3c                 |                     | Receive Explanation on Company's Reserves and Dividend Policy             | Mgmt      | No                  |             |         |                             |                         |                     |                         |                           |                        |
| 3d                 |                     | Approve Dividends   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **ASML Holding NV**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent                         | Votable<br>Proposal           | Mgmt<br>Rec                    | ISS Rec                          | Additional<br>Policy<br>Rec         | Voting<br>Policy<br>Rec        | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------------------------------|-------------------------------|--------------------------------|----------------------------------|-------------------------------------|--------------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 4a                 |                     | Approve Discharge of Management<br>Board  | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR is warrante controversies that the management board and/  |                                   |                               | ,                              |                                  | -                                   | d compelling                   |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR is warranted becontroversies that the management board and/   |                                   |                               | •                              | -                                |                                     | mpelling                       |                     |                         |                           |                        |
| 4b                 |                     | Approve Discharge of Supervisory<br>Board   | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR is warrante controversies that the management board and/  |                                   |                               | ,                              |                                  | -                                   | d compelling                   |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR is warranted be controversies that the management board and/  |                                   |                               | •                              | -                                |                                     | mpelling                       |                     |                         |                           |                        |
| 5                  |                     | Approve Number of Shares for<br>Management Board  | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
| 6a                 |                     | Amend Remuneration Policy for the<br>Supervisory Board  | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Qualified support for It practice and not particular concerns are raised fees no longer being subject to special majority additional work. A vote FOR Item 6b is warrant board regarding this remuneration proposal. | with the policy<br>requirements   | itself. Howe<br>and the pot   | ever, this is<br>ential for ac | not without o<br>Iditional pay i | concern for the<br>in case of sign  | e approval of<br>ificant       |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Qualified support for Item 6<br>and not particular concerns are raised with the<br>longer being subject to special majority require.<br>A vote FOR Item 6b is warranted, since there is<br>this remuneration proposal.     | policy itself. H<br>ments and the | lowever, this<br>potential fo | s is not with<br>or additional | out concern f<br>pay in case o   | for the approva<br>of significant a | al of fees no<br>dditional wor |                     |                         |                           |                        |
| 6b                 |                     | Amend Remuneration of the Members of the Supervisory Board  | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Qualified support for It practice and not particular concerns are raised fees no longer being subject to special majority additional work. A vote FOR Item 6b is warrant board regarding this remuneration proposal. | with the policy<br>requirements   | v itself. Howe<br>and the pot | ever, this is<br>ential for ac | not without o                    | concern for the<br>in case of sign  | e approval of<br>ificant       |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Qualified support for Item 6 and not particular concerns are raised with the longer being subject to special majority require. A vote FOR Item 6b is warranted, since there is this remuneration proposal.                 | policy itself. H<br>ments and the | lowever, this<br>potential fo | s is not with<br>or additional | out concern f<br>pay in case o   | for the approva<br>of significant a | al of fees no<br>dditional wor |                     |                         |                           |                        |
| 7                  |                     | Receive Information on the<br>Composition of the Management Board<br>and Announce Intention to Appoint<br>W.R. Allan to Management Board  | Mgmt                              | No                            |                                |                                  |                                     |                                |                     |                         |                           |                        |
| 8                  |                     | Elect N.S. Andersen to Supervisory Board  | Mgmt                              | Yes                           | For                            | For                              |                                     | For                            | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR these elect<br>exceeding four years; * The candidates appear<br>no known controversy concerning the candidate   | to possess the                    |                               |                                |                                  |                                     |                                | 7                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates  |                                   |                               |                                |                                  |                                     |                                | -                   |                         |                           |                        |

### **ASML Holding NV**

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent       | Votable<br>Proposal | Mgmt<br>Rec    | ISS Rec     | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|-----------------|---------------------|----------------|-------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 8b                 |                     | Elect J.P. de Kreij to Supervisory Board   | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR these electexceeding four years; * The candidates appear no known controversy concerning the candidates. | r to possess th |                     |                |             | -                           |                         | S                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR these elections four years; * The candidates appear to posses controversy concerning the candidates            |                 |                     |                |             | •                           |                         | -                   |                         |                           |                        |
| 8c                 |                     | Discuss Composition of the<br>Supervisory Board  | Mgmt            | No                  |                |             |                             |                         |                     |                         |                           |                        |
| 9                  |                     | Ratify PricewaterhouseCoopers<br>Accountants N.V. as Auditors  | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
| 10a                |                     | Grant Board Authority to Issue Shares<br>Up to 5 Percent of Issued Capital Plus<br>Additional 5 Percent in Case of Merger<br>or Acquisition  | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propo-<br>volume and duration.  | sal is warrante | d because it        | is in line wit | th commonly | used safegual               | rds regarding           | 7                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is volume and duration.  | warranted bed   | cause it is in      | line with co   | mmonly used | d safeguards re             | egarding                |                     |                         |                           |                        |
| 10b                |                     | Authorize Board to Exclude Preemptive<br>Rights from Share Issuances   | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this propo-<br>volume and duration.  | sal is warrante | d because it        | is in line wit | th commonly | used safegual               | rds regarding           | 7                   |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this proposal is volume and duration.  | warranted bed   | cause it is in      | line with co   | mmonly used | d safeguards re             | egarding                |                     |                         |                           |                        |
| 11                 |                     | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital  | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
| 12                 |                     | Authorize Cancellation of Repurchased Shares   | Mgmt            | Yes                 | For            | For         |                             | For                     | For                 | No                      | No                        | No                     |
| 13                 |                     | Other Business (Non-Voting)  | Mgmt            | No                  |                |             |                             |                         |                     |                         |                           |                        |
| 14                 |                     | Close Meeting  | Mgmt            | No                  |                |             |                             |                         |                     |                         |                           |                        |
| Ballot Deta        | ails                |  |                 |                     |                |             |                             |                         |                     |                         |                           |                        |
| Institutiona       | l Account Detail    | Custodian  |                 |                     | •              |             | B-U-t-V-t'-                 | Chahara                 | V-1-11- 6           |                         | St.                       | W-t-d                  |

# **Becton, Dickinson and Company**

**Account Number** 

0045863

(IA Name, IA Number)

CHAMP, 45863

FORUM ONE SQUARE MEGATREND

Meeting Date: 01/24/2023 Country: USA Ticker: BDX Proxy Level: 3

Instructed

03/27/2023

Auto-Instructed

Record Date: 12/05/2022 Meeting Type: Annual Meeting ID: 1705215

**Ballot Status** 

Confirmed

 Primary Security ID: 075887109
 Primary CUSIP: 075887109
 Primary ISIN: US0758871091
 Primary SEDOL: 2087807

Approved

Auto-Approved

03/27/2023

**Ballot Voting Status** 

Total Shares:

Votable Shares

8,163

**Shares Voted** 

8,163

8,163

#### **Becton, Dickinson and Company**

Earliest Cutoff Date: 01/20/2023 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 9.200 \*Shares on Loan: 0 Shares Instructed: 9.200 Shares Voted: 9.200

Additional Voting Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Elect Director William M. Brown No Yes For No Mgmt For For 1.1 For No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.2 Elect Director Catherine M. Burzik For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Carrie L. Byington 1.3 Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.4 Elect Director R. Andrew Eckert Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.5 Elect Director Claire M. Fraser Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.6 Elect Director Jeffrey W. Henderson Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.7 Elect Director Christopher Jones Yes For For For For No No Mgmt No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.8 Elect Director Marshall O. Larsen Mamt Yes For For For For Nο Nο Nο Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.9 Elect Director Thomas E. Polen Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.10 Elect Director Timothy M. Ring Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.11 Elect Director Bertram L. Scott Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 2 Ratify Ernst & Young LLP as Auditors Mgmt Yes For For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Becton, Dickinson and Company**

| Proposal<br>Number | Significant<br>Vote  | Proposal Text   | Proponent      | Votable<br>Proposal | Mgmt<br>Rec    | ISS Rec      | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|--|---|----------------|---------------------|----------------|--------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 3                  |  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation   | Mgmt           | Yes                 | For            | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 4                  |  | Advisory Vote on Say on Pay<br>Frequency  | Mgmt           | Yes                 | One Year       | One Year     |                             | One<br>Year             | One Year            | No                      | No                        | No                     |
| 5                  |  | Amend Omnibus Stock Plan  | Mgmt           | Yes                 | For            | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 6                  |  | Submit Severance Agreement<br>(Change-in-Control) to Shareholder<br>Vote  | SH             | Yes                 | Against        | For          |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    | Voting Policy Rationale: A vote FOR this item is warranted given that the proposal applies only to future severance arrangements, the current agreements will not be affected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting. |   |                |                     |                |              |                             |                         |                     |                         |                           |                        |
|                    |  | Blended Rationale: A vote FOR this item is want the current agreements will not be affected, an appropriat of a new or renewed coverance arrangement. | nd the proposa | l offers flexib     | pility as to w | hen the boar |                             | -                       |                     |                         |                           |                        |

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 9,200          | 9,200        |
| G. W. W. Y. 15005                                    |                             |               | 12/30/2022      | 12/30/2022    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 9,200          | 9,200        |

# **Booking Holdings Inc.**

| Record D  | Date: 06/06/2<br>Date: 04/11/20<br>Security ID: | 023                  | Country: USA Meeting Type: Annual Primary CUSIP: 09857 |           |                     | -           | KNG<br>ID: 1749104<br>ISIN: US098 |                             |                         | Proxy Level         |                         | OB4                       |                        |
|---|---|----------------------|--|-----------|---------------------|-------------|-----------------------------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Earliest Cutoff Date: 06/02/2023  Votable Shares: 1,558 |   |                      | Total Ballots: 1 *Shares on Loan: 0                    |           |                     | Voting Po   | licy: ISS<br>structed: 1,59       | 58                          |                         | Additional Po       | •                       |                           |                        |
| Proposal<br>Number                                      | Proposal Significant                            |                      |  | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec                           | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
| 1.1   |   | Elect Director Glenn | D. Fogel   | Mgmt      | Yes                 | For         | For                               |                             | For                     | For                 | No                      | No                        | No                     |

| Proposal<br>Number | Significant<br>Vote | Proposal Text                                  | Proponent        | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Policy<br>Rec | Policy<br>Rec | Vote<br>Instruction | Against<br>Mgmt | Against<br>Policy | Against<br>ISS |
|--------------------|---------------------|--|------------------|---------------------|-------------|---------|---------------|---------------|---------------------|-----------------|-------------------|----------------|
| 1.1                |                     | Elect Director Glenn D. Fogel                  | Mgmt             | Yes                 | For         | For     |               | For           | For                 | No              | No                | No             |
|                    |                     | Voting Policy Rationale: A vote FOR the direct | tor nominees is  | warranted.          |             |         |               |               |                     |                 |                   |                |
|                    |                     | Blended Rationale: A vote FOR the director re  | nominees is warr | ranted.             |             |         |               |               |                     |                 |                   |                |
| 1.2                |                     | Elect Director Mirian M. Graddick-Weir         | Mgmt             | Yes                 | For         | For     |               | For           | For                 | No              | No                | No             |
|                    |                     | Voting Policy Rationale: A vote FOR the direct | tor nominees is  | warranted.          |             |         |               |               |                     |                 |                   |                |
|                    |                     | Blended Rationale: A vote FOR the director re  | nominees is warr | ranted.             |             |         |               |               |                     |                 |                   |                |
| 1.3                |                     | Elect Director Wei Hopeman                     | Mgmt             | Yes                 | For         | For     |               | For           | For                 | No              | No                | No             |
|                    |                     | Voting Policy Rationale: A vote FOR the direct | tor nominees is  | warranted.          |             |         |               |               |                     |                 |                   |                |
|                    |                     | Blended Rationale: A vote FOR the director re  | ominees is warr  | ranted.             |             |         |               |               |                     |                 |                   |                |
| 1.4                |                     | Elect Director Robert J. Mylod, Jr.            | Mgmt             | Yes                 | For         | For     |               | For           | For                 | No              | No                | No             |
|                    |                     | Voting Policy Rationale: A vote FOR the direct | tor nominees is  | warranted.          |             |         |               |               |                     |                 |                   |                |
|                    |                     | Blended Rationale: A vote FOR the director r   | ominees is warr  | ranted.             |             |         |               |               |                     |                 |                   |                |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Booking Holdings Inc.**

| Proposal Significan<br>Number Vote                | t<br>Proposal Text   |                     | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|---|--|---------------------|----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1.5   | Elect Director Charles H. Nos  | ski                 | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A voi   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 1.6   | Elect Director Larry Quinlan   |                     | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A voi   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 1.7   | Elect Director Nicholas J. Rea   | ad                  | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A vol   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 1.8   | Elect Director Thomas E. Rol   | thman               | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A voi   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 1.9   | Elect Director Sumit Singh   |                     | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A vote FOR the director nominees is warranted.  |                     |                |                     |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FOR the director nominees is warranted.        |                     |                |                     |             |          |                             |                         |                     |                         |                           |                        |
| 1.10  | Elect Director Lynn Vojvodicl<br>Radakovich                              | h                   | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A vol   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 1.11  | Elect Director Vanessa A. Wi   | ttman               | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|   | Voting Policy Rationale: A voi   | te FOR the directo  | or nominees is | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|   | Blended Rationale: A vote FC   | OR the director no. | minees is warr | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 2   | Advisory Vote to Ratify Name<br>Executive Officers' Compens              |                     | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 3   | Ratify Deloitte & Touche LLP<br>Auditors                                 | ' as                | Mgmt           | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 4   | Advisory Vote on Say on Pay<br>Frequency                                 | ,                   | Mgmt           | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |
| 5   | Submit Severance Agreement<br>(Change-in-Control) to Shareholder<br>Vote |                     |                | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| <b>Ballot Details</b>                             |  |                     |                |                     |             |          |                             |                         |                     |                         |                           |                        |
| Institutional Account Det<br>(IA Name, IA Number) | ail Custodian<br>Account Number  | Ballot Status       | Instructe      | d                   | Approve     | i        | Ballot Voting               | Status                  | Votable S           | hares                   | Shares \                  | Voted                  |
| FORUM ONE SQUARE MEGA                             | TREND 0045863  | Confirmed           | Auto-Instru    | ucted               | Auto-Appr   | oved     |                             |                         | 1,558               | 3                       | 1,5                       | 58                     |
| CHAMP, 45863                                      |  |                     | 05/23/2023     | 3                   | 05/23/202   | 3        |                             |                         |                     |                         |                           |                        |
|   |  |                     |                |                     |             |          |                             | Total Shares:           | 1,55                | 8                       | 1,55                      | 58                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Charter Communications, Inc.**

**Meeting Date:** 04/25/2023 **Record Date:** 02/24/2023

Country: USA

Meeting Type: Annual

nual Meeting ID: 1730067

Primary Security ID: 16119P108

Primary CUSIP: 16119P108

Primary ISIN: US16119P1084

Primary SEDOL: BZ6VT82

Earliest Cutoff Date: 04/21/2023

Total Ballots: 1

Voting Policy: ISS

Ticker: CHTR

Additional Policy:

Proxy Level: 3

Votable Shares: 5,769 \*Shares on Loan: 0 Shares Instructed: 5,769 Shares Voted: 5,769

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1a                 |                     | Elect Director W. Lance Conn  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | _           |         | -                           | oards while             |                     |                         |                           |                        |
| 1b                 |                     | Elect Director Kim C. Goodman   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | _           |         | -                           | oards while             |                     |                         |                           |                        |
| 1c                 |                     | Elect Director Craig A. Jacobson  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | -           |         | •                           | oards while             |                     |                         |                           |                        |
| 1d                 |                     | Elect Director Gregory B. Maffei  | Mgmt      | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | -           |         | •                           | oards while             |                     |                         | -                         |                        |
| 1e                 |                     | Elect Director John D. Markley, Jr.   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | _           |         | -                           | oards while             |                     |                         |                           |                        |
| 1f                 |                     | Elect Director David C. Merritt   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | -           |         | •                           | oards while             |                     |                         | -                         |                        |
| 1g                 |                     | Elect Director James E. Meyer   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot | , , -,    |                     |             | -       |                             | olic boards w           | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   | 5,        |                     |             |         | •                           | oards while             |                     |                         | -                         |                        |
| 1h                 |                     | Elect Director Steven A. Miron  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot |           |                     |             | -       | -                           | olic boards w           | hile                |                         | -                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot   |           |                     | -           |         | •                           | oards while             |                     |                         | -                         |                        |

# **Charter Communications, Inc.**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent | Votable<br>Proposal | Mgmt<br>Rec    | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------|---------------------|----------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| <b>1</b> i         |                     | Elect Director Balan Nair   | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot                                     | , , -,    |                     |                | -        | •                           | lic boards w            | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot                                       |           |                     | _              |          |                             | pards while             |                     |                         |                           |                        |
| 1j                 |                     | Elect Director Michael A. Newhouse  | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot                                     |           |                     |                | -        |                             | lic boards w            | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (Userving as a CEO of an outside company. A vot   |           |                     | _              |          |                             | oards while             |                     |                         |                           |                        |
| 1k                 |                     | Elect Director Mauricio Ramos   | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot                                     |           |                     |                | -        | -                           | lic boards w            | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot                                       | -,        |                     | _              |          |                             | ards while              |                     |                         |                           |                        |
| 11                 |                     | Elect Director Thomas M. Rutledge   | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot                                     |           |                     |                | -        |                             | lic boards w            | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot                                       | -,        |                     | _              |          |                             | ards while              |                     |                         |                           |                        |
| 1m                 |                     | Elect Director Eric L. Zinterhofer  | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST Gregor<br>serving as a CEO of an outside company. A vot                                     |           |                     |                | -        | -                           | lic boards w            | hile                |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST Gregory (C<br>serving as a CEO of an outside company. A vot                                       |           |                     | _              |          |                             | ards while              |                     |                         |                           |                        |
| 2                  |                     | Advisory Vote to Ratify Named<br>Executive Officers' Compensation   | Mgmt      | Yes                 | For            | Against  |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST this p<br>that provides for multi-year guaranteed time-b<br>pre-set performance criteria.   |           | _                   |                |          |                             | _                       | ent                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST this propo-<br>provides for multi-year guaranteed time-based<br>performance criteria.             |           | -                   | -              | -        |                             | -                       |                     |                         |                           |                        |
| 3                  |                     | Advisory Vote on Say on Pay<br>Frequency  | Mgmt      | Yes                 | Three<br>Years | One Year |                             | One<br>Year             | One Year            | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote for the adoption considered a best practice as they give sharehor                                   |           |                     |                |          | -                           | n-pay votes             | are                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote for the adoption of a considered a best practice as they give shareho                                     |           |                     |                |          |                             | votes are               |                     |                         |                           |                        |
| 4                  |                     | Ratify KPMG LLP as Auditors   | Mgmt      | Yes                 | For            | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 5                  |                     | Report on Lobbying Payments and Policy  | SH        | Yes                 | Against        | For      |                             | For                     | For                 | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR this resolu<br>and policies, including its trade association men<br>management of related risks |           |                     |                |          |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR this resolution is policies, including its trade association member related risks                     |           |                     | -              | -        |                             |                         | t of                |                         |                           |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Charter Communications, Inc.**

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 5,769          | 5,769        |
| CIPET, 15005   |                             |               | 03/30/2023      | 03/30/2023    | _                    |                |              |
|  |                             |               |                 |               | Total Shares:        | 5,769          | 5,769        |

# **Danaher Corporation**

Meeting Date: 05/09/2023

Record Date: 03/10/2023

Primary Security ID: 235851102

Country: USA Meeting Type: Annual Primary CUSIP: 235851102 Ticker: DHR
Meeting ID: 1736021

Primary SEDOL: 2250870

Proxy Level: 3

Timary Security 1D. 233031102

Earliest Cutoff Date: 05/05/2023

Votable Shares: 18,213

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 18,213

**Primary ISIN:** US2358511028

Additional Policy: Shares Voted: 18,213

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1a                 |                     | Elect Director Rainer M. Blair   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST inco<br>and Raymond Stevens is warranted for a fail<br>director nominees is warranted. |           |                     |             |         | •                           |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbe<br>Raymond Stevens is warranted for a failure t<br>director nominees is warranted.   |           |                     |             |         | •                           |                         | 1                   |                         |                           |                        |
| 1b                 |                     | Elect Director Feroz Dewan   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST inco<br>and Raymond Stevens is warranted for a fail<br>director nominees is warranted. |           |                     |             | -       |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbe<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.  |           |                     | -           |         | •                           |                         | 1                   |                         |                           |                        |
| 1c                 |                     | Elect Director Linda Filler  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST inco<br>and Raymond Stevens is warranted for a fail<br>director nominees is warranted. |           |                     |             |         | •                           |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbe<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.  |           |                     | ,           |         | •                           |                         | 1                   |                         |                           |                        |
| 1d                 |                     | Elect Director Teri List   | Mgmt      | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST inco<br>and Raymond Stevens is warranted for a fail<br>director nominees is warranted. |           |                     |             |         | •                           |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbe<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.  |           |                     | -           |         | •                           |                         | 1                   |                         | -                         |                        |

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Danaher Corporation**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1e                 |                     | Elect Director Walter G. Lohr, Jr.  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             |         |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbers<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |
| 1f                 |                     | Elect Director Jessica L. Mega  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             |         |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbent<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |
| 1g                 |                     | Elect Director Mitchell P. Rales  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             |         |                             |                         | ing                 |                         |                           |                        |
| 1h                 |                     | Blended Rationale: A vote AGAINST incumbers<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |
| 1h                 |                     | Elect Director Steven M. Rales  | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             | -       |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbers<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     |             |         |                             |                         |                     |                         |                           |                        |
| 1i                 |                     | Elect Director Pardis C. Sabeti   | Mgmt      | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             |         |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbers<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |
| 1j                 |                     | Elect Director A. Shane Sanders   | Mgmt      | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incur<br>and Raymond Stevens is warranted for a failur<br>director nominees is warranted. |           |                     |             | •       |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumbers<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.   |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |
| 1k                 |                     | Elect Director John T. Schwieters   | Mgmt      | Yes                 | For         | Against |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST incumand Raymond Stevens is warranted for a failur director nominees is warranted.        |           |                     |             | -       |                             |                         | ing                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST incumber<br>Raymond Stevens is warranted for a failure to<br>director nominees is warranted.    |           |                     | -           |         | -                           |                         |                     |                         |                           |                        |

# **Danaher Corporation**

| Proposal<br>Number          | Significant<br>Vote             | Proposal Text  |                     | Proponent   | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|-----------------------------|---------------------------------|--|---------------------|-------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 11                          |                                 | Elect Director Alan G. Spoon   |                     | Mgmt        | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |
|                             |                                 | Voting Policy Rationale: A vote<br>and Raymond Stevens is warra<br>director nominees is warranted  | nted for a failure  |             |                     |             |          |                             |                         | ng                  |                         |                           |                        |  |
|                             |                                 | Blended Rationale: A vote AGA.<br>Raymond Stevens is warranted<br>director nominees is warranted   | for a failure to se |             |                     | -           |          | •                           |                         |                     |                         |                           |                        |  |
| 1m                          |                                 | Elect Director Raymond C. Ste  | vens                | Mgmt        | Yes                 | For         | Against  |                             | Against                 | Against             | Yes                     | No                        | No                     |  |
|                             |                                 | Voting Policy Rationale: A vote<br>and Raymond Stevens is warra<br>director nominees is warranted  | nted for a failure  |             |                     |             |          |                             |                         | ng                  |                         |                           |                        |  |
|                             |                                 | Blended Rationale: A vote AGA.<br>Raymond Stevens is warranted<br>director nominees is warranted   | for a failure to se |             |                     | -           |          | •                           |                         |                     |                         |                           |                        |  |
| 1n                          |                                 | Elect Director Elias A. Zerhoun  | i                   | Mgmt        | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |
|                             |                                 | Voting Policy Rationale: A vote<br>and Raymond Stevens is warra<br>director nominees is warranted  | nted for a failure  |             |                     |             | -        | -                           |                         | ng                  |                         |                           |                        |  |
|                             |                                 | Blended Rationale: A vote AGA.<br>Raymond Stevens is warranted<br>director nominees is warranted   | for a failure to su |             |                     | •           |          | •                           |                         |                     |                         |                           |                        |  |
| 2                           |                                 | Ratify Ernst & Young LLP as A  | uditors             | Mgmt        | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |
| 3                           |                                 | Advisory Vote to Ratify Named<br>Executive Officers' Compensati  |                     | Mgmt        | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |
| 4                           |                                 | Advisory Vote on Say on Pay<br>Frequency   |                     | Mgmt        | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |  |
| 5                           |                                 | Require Independent Board Ch   | nair                | SH          | Yes                 | Against     | For      |                             | For                     | For                 | Yes                     | No                        | No                     |  |
|                             |                                 | Voting Policy Rationale: A vote FOR this proposal is warranted. The board leadership structure is currently comprised of a cumbersome three-headed structure including a lead independent director, a relatively new CEO and a former CEO and company founder who continues to serve as executive chair. In this case, an independent chair policy would simplify the current board leadership structure, which could promote more effective independent oversight and also streamline responsibilities. In addition, there are continued pledging concerns at the company suggesting that shareholders would benefit from the most robust form of independent oversight, in the form of an independent chair. Furthermore, this proposal is not overly prescriptive and would not require an immediate change to the current board leadership structure, providing the board  |                     |             |                     |             |          |                             |                         |                     |                         |                           |                        |  |
|                             |                                 | with flexibility to implement an independent chair policy as it sees fit.  Blended Rationale: A vote FOR this proposal is warranted. The board leadership structure is currently comprised of a cumbersome three-headed structure including a lead independent director, a relatively new CEO and a former CEO and company founder who continues to serve as executive chair. In this case, an independent chair policy would simplify the current board leadership structure, which could promote more effective independent oversight and also streamline responsibilities. In addition, there are continued pledging concerns at the company suggesting that shareholders would benefit from the most robust form of independent oversight, in the form of an independent chair. Furthermore, this proposal is not overly prescriptive and would not require an immediate change to the current board leadership structure, providing the board with flexibility to implement an independent chair policy as it sees fit. |                     |             |                     |             |          |                             |                         |                     |                         |                           |                        |  |
| 6                           |                                 | Report on Effectiveness of Dive<br>Equity, and Inclusion Efforts   | ersity,             | SH          | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |  |
| Ballot Det                  | ails                            |  |                     |             |                     |             |          |                             |                         |                     |                         |                           |                        |  |
| Institutiona<br>(IA Name, I | al Account Detail<br>(A Number) | Custodian<br>Account Number  | Ballot Status       | Instructed  | ı                   | Approved    | d        | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                  |  |
| FORUM ONE                   | SQUARE MEGATRE                  | ND 0045863   | Confirmed           | Auto-Instru | cted                | Auto-Appr   | roved    |                             |                         | 18,21               | 3                       | 18,2                      | 213                    |  |
| , .230                      |                                 |  |                     | 04/26/2023  |                     | 04/26/202   | 23       |                             |                         |                     |                         |                           |                        |  |
|                             |                                 |  |                     |             |                     |             |          |                             | Total Shares:           | 18,2                | 13                      | 18,2                      | 13                     |  |
|                             |                                 |  |                     |             |                     |             |          |                             |                         |                     |                         |                           |                        |  |

## **Danaher Corporation**

#### **Dassault Systemes SA**

 Meeting Date: 05/24/2023
 Country: France
 Ticker: DSY
 Proxy Level: N/A

 Record Date: 05/22/2023
 Meeting Type: Annual/Special
 Meeting ID: 1744767

 Primary Security ID: F24571451
 Primary CUSIP: F24571451
 Primary ISIN: FR0014003TT8
 Primary SEDOL: BM8H5Y5

 Earliest Cutoff Date: 05/15/2023
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

Votable Shares: 99,395

\*Shares on Loan: 0

Shares Instructed: 99,395

Additional Policy: 155

Shares Voted: 99,395

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent         | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec                     | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-------------------|---------------------|--------------|----------------|---|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
|                    |                     | Ordinary Business   | Mgmt              | No                  |              |                |   |                         |                     |                         |                           |                        |
| 1                  |                     | Approve Financial Statements and Statutory Reports  | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approand lack of concerns.   | oval of the annua | al accounts a       | are warrante | ed due to the  | unqualified au                                  | ıditors' opini          | on                  |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval clack of concerns.  | of the annual acc | counts are w        | arranted du  | e to the unqu  | ualified auditor                                | s' opinion ai           | nd                  |                         | -                         |                        |
| 2                  |                     | Approve Consolidated Financial<br>Statements and Statutory Reports  | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approand lack of concerns.   | oval of the annua | al accounts a       | are warrante | ed due to the  | unqualified au                                  | ıditors' opini          | on                  |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval of lack of concerns.  | of the annual acc | counts are w        | arranted du  | e to the unqu  | ıalified auditor                                | s' opinion ai           | nd                  |                         |                           |                        |
| 3                  |                     | Approve Allocation of Income and Dividends of EUR 0.21 per Share  | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
| 4                  |                     | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning<br>the Absence of New Transactions   | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
| 5                  |                     | Renew Appointment of<br>PricewaterhouseCoopers Audit as<br>Auditor  | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
| 6                  |                     | Approve Remuneration Policy of Corporate Officers   | Mgmt              | Yes                 | For          | Against        |   | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST this capital association for the CEO, and the LTIP termination payments could result in rewards proratization.        | grant to the Vic  | ce-CEO can l        | ead to very  | significant pa | ommon proces<br>syments. *<br>irement, witho    | The                     | y's                 |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST this rem<br>capital association for the CEO, and the LTIP<br>termination payments could result in rewards<br>proratization. | grant to the Vic  | ce-CEO can l        | ead to very  | significant pa | on process of a<br>syments. *<br>irement, witho | The                     |                     |                         | -                         |                        |
| 7                  |                     | Approve Compensation of Charles<br>Edelstenne, Chairman of the Board<br>Until January 8, 2023   | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
| 8                  |                     | Approve Compensation of Bernard<br>Charles, Vice-Chairman of the Board<br>and CEO Until January 8, 2023   | Mgmt              | Yes                 | For          | For            |   | For                     | For                 | No                      | No                        | No                     |
| 9                  |                     | Approve Compensation Report of Corporate Officers   | Mgmt              | Yes                 | For          | Against        |   | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Considering some iss<br>the recurring significant dissent received at la   |                   |                     |              |                | -   | rt along with           |                     |                         |                           |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

recurring significant dissent received at last AGMs, a vote AGAINST this resolution is warranted.

Blended Rationale: Considering some issues remain in terms of disclosure on the CEO's remuneration report along with the

# **Dassault Systemes SA**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent   | Votable<br>Proposal                             | Mgmt<br>Rec                             | ISS Rec   | Additional<br>Policy<br>Rec                        | Voting<br>Policy<br>Rec                 | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|---|---|---|---|--|---|---------------------|-------------------------|---------------------------|------------------------|
| 10                 |                     | Reelect Catherine Dassault as Director  | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * A vote FOR the (re)e of board independence (including all board merepresentatives, employee representatives, and recommended) and the absence of specific conwarranted in the absence of specific concerns (               | mbers: 41.7 pe<br>d employee sha<br>acerns (Item 10               | ercent vs 33.<br>areholder rep                  | 3 percent re<br>presentatives           | ecommended,<br>s (if any): 50.                    | excluding go<br>O percent vs                       | vernment<br>50 percent                  |                     |                         |                           |                        |
|                    |                     | Blended Rationale: * A vote FOR the (re)election board independence (including all board member representatives, employee representatives, and recommended) and the absence of specific concurrence in the absence of specific concerns (             | pers: 41.7 perc<br>d employee sha<br>acerns (Item 10              | ent vs 33.3 p<br>areholder rep                  | percent reco<br>presentatives           | mmended; ex<br>s (if any): 50.                    | xcluding gover<br>0 percent vs s                   | rnment<br>50 percent                    |                     |                         |                           |                        |
| 11                 |                     | Elect Genevieve Berger as Director  | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * A vote FOR the (re)e of board independence (including all board merepresentatives, employee representatives, and recommended) and the absence of specific conwarranted in the absence of specific concerns (               | mbers: 41.7 pe<br>d employee sha<br>ocerns (Item 10<br>(Item 11). | ercent vs 33<br>areholder rep<br>0). * A vote l | 3 percent representatives  FOR the (re) | ecommended,<br>s (if any): 50.<br>Delection of th | e excluding go<br>O percent vs s<br>nis independer | vernment<br>50 percent<br>nt nominee is | 5                   |                         |                           |                        |
|                    |                     | Blended Rationale: * A vote FOR the (re)electic<br>board independence (including all board memb<br>representatives, employee representatives, and<br>recommended) and the absence of specific con-<br>warranted in the absence of specific concerns ( | pers: 41.7 perc<br>d employee sha<br>acerns (Item 10              | ent vs 33.3 p<br>areholder rep                  | percent reco<br>presentatives           | mmended; ex<br>s (if any): 50.                    | xcluding gover<br>0 percent vs s                   | rnment<br>50 percent                    |                     |                         |                           |                        |
| 12                 |                     | Authorize Repurchase of Up to 25<br>Million Issued Share Capital  | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Extraordinary Business  | Mgmt  | No  |   |   |  |   |                     |                         |                           |                        |
| 13                 |                     | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
| 14                 |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities with<br>Preemptive Rights up to Aggregate<br>Nominal Amount of EUR 12 Million   | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR Items 14-12<br>percent of capital for issuances with and without   |   |   | they respect                            | t the recomm                                      | ended guidelii                                     | nes of 50/10                            |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR Items 14-17 and of capital for issuances with and without preem  |   | nted as they                                    | respect the                             | recommende  | ed guidelines d                                    | of 50/10 perc                           | cent                |                         |                           |                        |
| 15                 |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities without<br>Preemptive Rights up to Aggregate<br>Nominal Amount of EUR 12 Million  | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR Items 14-12<br>percent of capital for issuances with and without   |   |   | they respect                            | t the recomm                                      | ended guidelii                                     | nes of 50/10                            |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR Items 14-17 and of capital for issuances with and without preem  |   | nted as they                                    | respect the                             | recommende  | ed guidelines d                                    | of 50/10 perc                           | cent                |                         |                           |                        |
| 16                 |                     | Approve Issuance of Equity or<br>Equity-Linked Securities for Private<br>Placements up to Aggregate Nominal<br>Amount of EUR 12 Million   | Mgmt  | Yes   | For                                     | For   |  | For                                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR Items 14-17 and 19 are warranted as they respect the recommended guidelines of 50/10 percent of capital for issuances with and without preemptive rights.  |   |   |   |   |  |   |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR Items 14-17 and of capital for issuances with and without preem  |   | nted as they                                    | respect the                             | recommende  | ed guidelines d                                    | of 50/10 perc                           | cent                |                         |                           |                        |

### **Dassault Systemes SA**

**Proposal Text** 

Significant

Vote

Proposal Number

| 17   | Authorize Board to Increase Ca<br>the Event of Additional Demand<br>Related to Delegation Submitte<br>Shareholder Vote Under Items    | d to          | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
|--|---|---------------|--------------------------|--------------|-------------------|----------------|-----------------------------|--------|-------------|-------|---------|
|  | Voting Policy Rationale: Votes F<br>percent of capital for issuances  |               |                          |              | s they respe      | ect the recomi | mended guidelines of 50/.   | 10     |             |       |         |
|  | Blended Rationale: Votes FOR Is of capital for issuances with and   |               |                          | nnted as the | y respect th      | e recommend    | ded guidelines of 50/10 pe  | ercent |             |       |         |
| 18   | Authorize Capitalization of Rese<br>Up to EUR 12 Million for Bonus<br>or Increase in Par Value  |               | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
| 19   | Authorize Capital Increase of up<br>Percent of Issued Capital for<br>Contributions in Kind  | o to 10       | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
|  | Voting Policy Rationale: Votes F<br>percent of capital for issuances  |               |                          |              | s they respe      | ect the recomi | mended guidelines of 50/.   | 10     |             | _     |         |
|  | Blended Rationale: Votes FOR In<br>of capital for issuances with and  |               |                          | nted as the  | y respect th      | e recommend    | ded guidelines of 50/10 pe  | ercent |             |       |         |
| 20   | Authorize up to 1.5 Percent of Capital for Use in Restricted Storius  |               | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
| 21   | Authorize up to 3 Percent of Iss<br>Capital for Use in Stock Option   |               | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
| 22   | Authorize Capital Issuances for<br>Employee Stock Purchase Plans  |               | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
|  | Voting Policy Rationale: Votes F<br>10-percent recommended guide  |               | ee stock purch           | hase plans a | re warrante       | ed as the prop | oosed volume respects the   | ?      |             |       |         |
|  | Blended Rationale: Votes FOR to<br>10-percent recommended guide   |               | ock purchase             | plans are w  | arranted as       | the proposea   | volume respects the         |        |             |       |         |
| 23   | Authorize Capital Issuances for<br>Employee Stock Purchase Plans<br>Reserved for Employees and Co<br>Officers of International Subsid | orporate      | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
|  | Voting Policy Rationale: Votes F<br>10-percent recommended guide  |               | ee stock purch           | hase plans a | re warrante       | ed as the prop | oosed volume respects the   | •      |             |       |         |
|  | Blended Rationale: Votes FOR to<br>10-percent recommended guide   |               | ock purchase             | plans are w  | arranted as       | the proposea   | volume respects the         |        |             |       |         |
| 24   | Authorize Filing of Required Documents/Other Formalities  |               | Mgmt                     | Yes          | For               | For            | For                         | For    | No          | No    | No      |
| <b>Ballot Details</b>                                |   |               |                          |              |                   |                |                             |        |             |       |         |
| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number   | Ballot Status | Instructe                | ed           | Appro             | <b>v</b> ed    | Ballot Voting Status        | Vota   | able Shares | Share | s Voted |
| FORUM ONE SQUARE MEGATRE<br>CHAMP, 45863             | ND 0045863  | Confirmed     | Auto-Instru<br>04/27/202 |              | Auto-A<br>04/27/2 | oproved        | Issuer Confirmed 05/26/2023 |        | 99,395      | 99    | ),395   |
|  |   |               | 07/2//202                | -            | 07/2//            |                | 33/20/2023                  |        |             |       |         |

Votable

Proposal

Proponent

Mgmt

Rec

Additional

Policy

Rec

ISS Rec

Voting

Policy

**Total Shares:** 

99,395

99,395

Rec

Vote

Instruction

Vote

Against

Policy

Against

Mgmt

Vote

ISS

Against

# **Intertek Group Plc**

Meeting Date: 05/24/2023

**Record Date:** 05/22/2023 **Primary Security ID:** G4911B108 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G4911B108

Meeting ID: 1720621

Ticker: ITRK

Primary ISIN: GB0031638363

Primary SEDOL: 3163836

**Earliest Cutoff Date:** 05/19/2023 **Votable Shares:** 58,300 Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 58,300

Additional Policy: Shares Voted: 58,300

Proxy Level: N/A

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal | Mgmt<br>Rec   | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|---|-----------------|---------------------|---------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 1                  |                     | Accept Financial Statements and Statutory Reports   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
| 2                  |                     | Approve Remuneration Report   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
| 3                  |                     | Approve Final Dividend  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
| 4                  |                     | Elect Colm Deasy as Director  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | ncerns have b | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 5                  |                     | Elect Jez Maiden as Director  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | ncerns have b | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 6                  |                     | Elect Kawal Preet as Director   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | cerns have b  | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 7                  |                     | Re-elect Andrew Martin as Director  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | cerns have b  | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 8                  |                     | Re-elect Andre Lacroix as Director  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | cerns have b  | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 9                  |                     | Re-elect Graham Allan as Director   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | ocerns have b | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 10                 |                     | Re-elect Gurnek Bains as Director   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | cerns have b  | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 11                 |                     | Re-elect Lynda Clarizio as Director   | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Direct  | ctors is warran | ted as no sig       | gnificant con | ocerns have b | been identified.            |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |
| 12                 |                     | Re-elect Tamara Ingram as Director  | Mgmt            | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. |                 |                     |               |               |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote FOR these Directors   | is warranted a  | as no signific      | ant concern   | s have been   | identified.                 |                         |                     |                         |                           |                        |  |

# **Intertek Group Plc**

| Proposal<br>Number          | Significant<br>Vote           | Proposal Text   |                   | Proponent        | Votable<br>Proposal | Mgmt<br>Rec   | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|-----------------------------|-------------------------------|---|-------------------|------------------|---------------------|---------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 13                          |                               | Re-elect Gill Rider as Director   |                   | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                             |                               | Voting Policy Rationale: A vote   | FOR these Dire    | ctors is warran  | ted as no sig       | gnificant con | cerns have b  | een identified.             |                         |                     |                         |                           |                        |
|                             |                               | Blended Rationale: A vote FOR   | these Directors   | is warranted a   | as no signific      | ant concerns  | s have been l | identified.                 |                         |                     |                         |                           |                        |
| 14                          |                               | Re-elect Jean-Michel Valette a<br>Director  | is                | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                             |                               | Voting Policy Rationale: A vote   | FOR these Dire    | ctors is warran  | nted as no sig      | gnificant con | cerns have b  | een identified.             |                         |                     |                         |                           |                        |
|                             |                               | Blended Rationale: A vote FOR   | ? these Directors | is warranted a   | as no signific      | ant concerns  | s have been l | identified.                 |                         |                     |                         |                           |                        |
| 15                          |                               | Reappoint PricewaterhouseCo<br>LLP as Auditors  | opers             | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
| 16                          |                               | Authorise the Audit Committe<br>Remuneration of Auditors  | e to Fix          | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
| 17                          |                               | Authorise Issue of Equity   |                   | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                             |                               | Voting Policy Rationale: A vote recommended limits.   | FOR these reso    | lutions is warra | anted becau         | se the propo  | sed amounts   | and durations               | are within              |                     |                         |                           |                        |
|                             |                               | Blended Rationale: A vote FOR recommended limits.   | these resolution  | ns is warranted  | d because th        | e proposed a  | amounts and   | durations are               | within                  |                     |                         |                           |                        |
| 18                          |                               | Authorise UK Political Donatio<br>Expenditure   | ns and            | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
| 19                          |                               | Authorise Issue of Equity without Mgmt Yes For For For No<br>Pre-emptive Rights                                 |                   |                  |                     |               |               |                             |                         |                     |                         | No                        | No                     |
|                             |                               | Voting Policy Rationale: A vote recommended limits.   | FOR these reso    | lutions is warra | anted becaus        | se the propo  | sed amounts   | and durations               | are within              |                     |                         |                           |                        |
|                             |                               | Blended Rationale: A vote FOR recommended limits.   | these resolution  | ns is warranted  | d because th        | e proposed a  | amounts and   | durations are               | within                  |                     |                         |                           |                        |
| 20                          |                               | Authorise Issue of Equity with<br>Pre-emptive Rights in Connect<br>an Acquisition or Other Capita<br>Investment | tion with         | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                             |                               | Voting Policy Rationale: A vote recommended limits.   | FOR these reso    | lutions is warra | anted becaus        | se the propo  | sed amounts   | and durations               | are within              |                     |                         |                           |                        |
|                             |                               | Blended Rationale: A vote FOR recommended limits.   | these resolution  | ns is warranted  | d because th        | e proposed a  | amounts and   | durations are               | within                  |                     |                         |                           |                        |
| 21                          |                               | Authorise Market Purchase of<br>Shares  | Ordinary          | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
| 22                          |                               | Authorise the Company to Cal<br>Meeting with Two Weeks' Not   |                   | Mgmt             | Yes                 | For           | For           |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Det                  | ails                          |   |                   |                  |                     |               |               |                             |                         |                     |                         |                           |                        |
| Institutiona<br>(IA Name, I | l Account Detail<br>A Number) | Custodian<br>Account Number   | Ballot Status     | Instructed       | d                   | Approve       | d             | Ballot Voting               | Status                  | Votable S           | hares                   | Shares \                  | Voted                  |
| FORUM ONE<br>CHAMP, 4586    | SQUARE MEGATREN               | ID 0045863  | Confirmed         | Auto-Instru      | ıcted               | Auto-Appi     | roved         | Issuer Confirme             | ed                      | 58,30               | 0                       | 58,3                      | 00                     |
|                             |                               |   |                   | 05/10/2023       | 3                   | 05/10/202     | 23            | 06/01/2023                  |                         |                     |                         |                           |                        |
|                             |                               |   |                   |                  |                     |               |               |                             | Total Shares:           | 58,30               | 00                      | 58,3                      | 00                     |

#### **Linde Plc**

Meeting Date: 01/18/2023

**Record Date:** 01/16/2023

Earliest Cutoff Date: 01/02/2023

Primary Security ID: G5494J103

Country: Ireland

Meeting Type: Extraordinary

Shareholders

Primary CUSIP: G5494J103

Ticker: LIN

**Meeting ID:** 1704186

Primary ISIN: IE00BZ12WP82

Primary SEDOL: BZ12WP8

**Total Ballots:** 1 Voting Policy: ISS **Additional Policy:** 

Proxy Level: N/A

| Votable SI         | hares: 7,820  | *Shares on Loan: 0   |                                 |                             | Shares In                      | structed: 7,8                 | 20            |               | Shares Voted | 1: 7,820 |                           |                        |
|--------------------|---|--|---------------------------------|-----------------------------|--------------------------------|-------------------------------|---------------|---------------|--------------|----------|---------------------------|------------------------|
| Proposal<br>Number | Significant<br>Vote   | Additional Voting Vote<br>icant Votable Mgmt Policy Policy Vote Against<br>Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt   |                                 |                             |                                |                               |               |               |              |          | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
| 1                  |   | Approve Scheme of Arrangement  | Mgmt                            | Yes                         | For                            | For                           |               | For           | For          | No       | No                        | No                     |
|                    |   | Voting Policy Rationale: The board has provide<br>Linde's inclusion in the DAX index, along with<br>valuation over the last two years. Accordingly,<br>implementation of the reorganization to delist  | other Europeal<br>a vote FOR th | n market dyr<br>ese proposa | namics, has d<br>Is is warrant | continued to<br>ed, as it wou | adversely imp | act its stock |              |          | -                         |                        |
|                    | Blended Rationale: The board has provided compelling evidence that the persistent technical selling pressure as a result of Linde's inclusion in the DAX index, along with other European market dynamics, has continued to adversely impact its stock valuation over the last two years. Accordingly, a vote FOR these proposals is warranted, as it would help facilitate the implementation of the reorganization to delist from the FSE and enhance shareholder value.  Amend Articles of Association Mgmt Yes For For For For No |  |                                 |                             |                                |                               |               |               |              |          | -                         |                        |
| 2                  |   | Amend Articles of Association  | Mgmt                            | Yes                         | For                            | For                           |               | For           | For          | No       | No                        | No                     |
|                    |   | Voting Policy Rationale: The board has provided compelling evidence that the persistent technical selling pressure as a result of Linde's inclusion in the DAX index, along with other European market dynamics, has continued to adversely impact its stock valuation over the last two years. Accordingly, a vote FOR these proposals is warranted, as it would help facilitate the implementation of the reorganization to delist from the FSE and enhance shareholder value. |                                 |                             |                                |                               |               |               |              |          |                           |                        |
|                    |   | Blended Rationale: The board has provided compelling evidence that the persistent technical selling pressure as a result of Linde's inclusion in the DAX index, along with other European market dynamics, has continued to adversely impact its stock valuation over the last two years. Accordingly, a vote FOR these proposals is warranted, as it would help facilitate the implementation of the reorganization to delist from the FSE and enhance shareholder value.       |                                 |                             |                                |                               |               |               |              |          |                           |                        |
| 3                  |   | Approve Common Draft Terms of<br>Merger  | Mgmt                            | Yes                         | For                            | For                           |               | For           | For          | No       | No                        | No                     |
|                    |   | Voting Policy Rationale: The board has provided compelling evidence that the persistent technical selling pressure as a result of Linde's inclusion in the DAX index, along with other European market dynamics, has continued to adversely impact its stock valuation over the last two years. Accordingly, a vote FOR these proposals is warranted, as it would help facilitate the implementation of the reorganization to delist from the FSE and enhance shareholder value. |                                 |                             |                                |                               |               |               |              |          |                           |                        |
|                    |   | Blended Rationale: The board has provided compelling evidence that the persistent technical selling pressure as a result of Linde's inclusion in the DAX index, along with other European market dynamics, has continued to adversely impact its stock valuation over the last two years. Accordingly, a vote FOR these proposals is warranted, as it would help facilitate the implementation of the reorganization to delist from the FSE and enhance shareholder value.       |                                 |                             |                                |                               |               |               |              |          |                           |                        |

**Ballot Details** 

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 7,820          | 7,820        |
| ,  |                             |               | 12/23/2022      | 01/13/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 7,820          | 7,820        |

#### **Linde Plc**

Meeting Date: 01/18/2023 **Record Date:** 01/16/2023

Primary Security ID: G5494J103

Country: Ireland Meeting Type: Court Primary CUSIP: G5494J103 Ticker: LIN

Meeting ID: 1704749

Primary ISIN: IE00BZ12WP82

Proxy Level: N/A

Primary SEDOL: BZ12WP8

#### **Linde Plc**

|                              |                             | Total B             |             |               |             | Voting Po           | licy: ISS   |               |                             | Additional Po           | licy:               |                         |                           |                        |
|------------------------------|-----------------------------|---------------------|-------------|---------------|-------------|---------------------|-------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Votable Sh                   | ares: 7,820                 |                     | *Share      | s on Loan: 0  |             |                     | Shares In   | structed: 7,8 | 20                          |                         | Shares Voted        | : 7,820                 |                           |                        |
| Proposal<br>Number           | Significant<br>Vote         | Proposal Text       |             |               | Proponent   | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|                              |                             | Court Meeting       |             |               | Mgmt        | No                  |             |               |                             |                         |                     |                         |                           |                        |
| 1                            |                             | Approve Scheme      | of Arrangen | nent          | Mgmt        | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                  | nils                        |                     |             |               |             |                     |             |               |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, I | Account Detail<br>A Number) | Custodia<br>Account |             | Ballot Status | Instructed  | i                   | Approve     | ed            | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                  |
| FORUM ONE S                  | QUARE MEGATREN              | D 0045863           |             | Confirmed     | Auto-Instru | icted               | Auto-App    | proved        |                             |                         | 7,820               | 0                       | 7,8                       | 20                     |
|                              |                             |                     |             |               | 12/23/2022  | 2                   | 01/13/20    | 23            |                             |                         |                     |                         |                           |                        |
|                              |                             |                     |             |               |             |                     |             |               |                             | Total Shares:           | 7,82                | 0                       | 7,8                       | 20                     |

Ticker: LIN

**Meeting ID:** 1755415

Proxy Level: N/A

#### **Linde Plc**

Meeting Date: 07/24/2023

**Record Date:** 04/27/2023

| Primary :          | Primary Security ID: G54950103 |   | Primary CUSIP: G54950103  |                   |                     | Primary     | ISIN: IE000   | OS9YS762                    |                         | Primary SE          | DOL: BNZH               | B81                       |                        |
|--------------------|--------------------------------|---|---|-------------------|---------------------|-------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Earliest Cu        | itoff Date: 07/0               | 07/2023   | Total Ballots: 1  |                   |                     | Voting Po   | olicy: ISS    |                             |                         | Additional Po       | olicy:                  |                           |                        |
| Votable Si         | nares: 7,820                   |   | *Shares on Loan: 0  |                   |                     | Shares In   | structed: 7,8 | 320                         |                         | Shares Voted        | <b>I:</b> 7,820         |                           |                        |
| Proposal<br>Number | Significant<br>Vote            | Proposal Text   |   | Proponent         | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
| 1a                 |                                | Elect Director Steph  | nen F. Angel  | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | ale: A vote FOR the dire  | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale:  | A vote FOR the director   | nominees is warr  | ranted.             |             |               |                             |                         |                     |                         | -                         |                        |
| 1b                 |                                | Elect Director Sanji  | v Lamba   | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | vale: A vote FOR the dire   | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale:  | lended Rationale: A vote FOR the director nominees is warranted.  lect Director Ann-Kristin Achleitner Mgmt Yes |                   |                     |             |               |                             |                         |                     |                         | -                         |                        |
| 1c                 |                                | Elect Director Ann-l  | Kristin Achleitner  | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | ale: A vote FOR the dire  | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale:  | A vote FOR the director   | nominees is warr  | ranted.             |             |               |                             |                         |                     |                         | _                         |                        |
| 1d                 |                                | Elect Director Thon   | nas Enders  | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | ale: A vote FOR the dire  | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale:  | A vote FOR the director   | nominees is warr  | ranted.             |             |               |                             |                         |                     |                         | _                         |                        |
| 1e                 |                                | Elect Director Hugh   | Grant   | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | ale: A vote FOR the dire  | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale: A vote FOR the director nominees is warranted. |   |                   |                     |             |               |                             |                         |                     |                         | -                         |                        |
| 1f                 |                                | Elect Director Joe K  | Kaeser  | Mgmt              | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                                | Voting Policy Ration  | ale: A vote FOR the dire  | ector nominees is | warranted.          |             |               |                             |                         |                     |                         | -                         |                        |
|                    |                                | Blended Rationale:  | A vote FOR the director   | nominees is warı  | ranted.             |             |               |                             |                         |                     |                         | -                         |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Country: Ireland

Meeting Type: Annual

#### **Linde Plc**

| Proposal<br>Number           | Significant<br>Vote         | Proposal Text   |                         | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|------------------------------|-----------------------------|---|-------------------------|----------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1g                           |                             | Elect Director Victoria B   | E. Ossadnik             | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                             | Voting Policy Rationale:  | : A vote FOR the direct | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                              |                             | Blended Rationale: A vo   | ote FOR the director no | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1h                           |                             | Elect Director Martin H   | . Richenhagen           | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                             | Voting Policy Rationale:  | A vote FOR the direct   | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                              |                             | Blended Rationale: A vo   | ote FOR the director no | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1i                           |                             | Elect Director Alberto V  | Weisser                 | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                             | Voting Policy Rationale:  | : A vote FOR the direct | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                              |                             | Blended Rationale: A vo   | ote FOR the director no | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1j                           |                             | Elect Director Robert L   | . Wood                  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                             | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                         |                |                     |             |         |                             |                         |                     |                         |                           |                        |
|                              |                             | Blended Rationale: A vo   | ote FOR the director no | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 2a                           |                             | Ratify Pricewaterhouse<br>Auditors                                      | cCoopers as             | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| 2b                           |                             | Authorise Board to Fix of Auditors                                      | Remuneration            | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| 3                            |                             | Advisory Vote to Ratify<br>Executive Officers' Con                      |                         | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| 4                            |                             | Reduce Supermajority<br>Requirement                                     | Vote                    | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                  | ails                        |   |                         |                |                     |             |         |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, I | Account Detail<br>A Number) | Custodian<br>Account Numbe  | er Ballot Status        | Instructe      | d                   | Approve     | d       | Ballot Voting               | Status                  | Votable Si          | nares                   | Shares \                  | Voted                  |
| FORUM ONE S                  | QUARE MEGATREN              | ID 0045863  | Confirmed               | Auto-Instru    | ıcted               | Auto-Appr   | roved   |                             |                         | 7,820               |                         | 7,83                      | 20                     |
| 2, 1330.                     | -                           |   |                         | 06/21/2023     | 3                   | 06/21/202   | 23      |                             |                         |                     |                         |                           |                        |
|                              |                             |   |                         |                |                     |             |         |                             | Total Shares:           | 7,820               | )                       | 7,82                      | 20                     |

### **Lonza Group AG**

| Meeting Date: 05/05/2023         | Country: Switzerland     | Ticker: LONN               | Proxy Level: N/A       |
|----------------------------------|--------------------------|----------------------------|------------------------|
| Record Date:                     | Meeting Type: Annual     | Meeting ID: 1706755        |                        |
| Primary Security ID: H50524133   | Primary CUSIP: H50524133 | Primary ISIN: CH0013841017 | Primary SEDOL: 7333378 |
| Earliest Cutoff Date: 04/27/2023 | Total Ballots: 1         | Voting Policy: ISS         | Additional Policy:     |
| Votable Shares: 4,520            | *Shares on Loan: 0       | Shares Instructed: 4,520   | Shares Voted: 0        |

#### Did Not Vote Due to Ballot Shareblocking

| Proposal<br>Number | Significant<br>Vote | Proposal Text                                     | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1                  |                     | Accept Financial Statements and Statutory Reports | Mgmt      | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |
| 2                  |                     | Approve Remuneration Report                       | Mgmt      | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

| Proposal<br>Number | Significant<br>Vote   | Proposal Text   | Proponent     | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---|---|---------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 3                  |   | Approve Discharge of Board and<br>Senior Management                     | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 4                  |   | Approve Allocation of Income and<br>Dividends of CHF 3.50 per Share     | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 5.1.1              |   | Reelect Albert Baehny as Director                                       | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    | Voting Policy Rationale: Votes FOR the proposed nominees are warranted. |   |               |                     |             |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed nominees are warranted.       |               |                     |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.2              |   | Reelect Marion Helmes as Director                                       | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed no.                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.3              |   | Reelect Angelica Kohlmann as Director                                   | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed no.                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.4              |   | Reelect Christoph Maeder as Director                                    | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed no.                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.5              |   | Reelect Roger Nitsch as Director  | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed not                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.6              |   | Reelect Barbara Richmond as Director                                    | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed no.                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.7              |   | Reelect Juergen Steinemann as<br>Director                               | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed no.                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.1.8              |   | Reelect Olivier Verscheure as Director                                  | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the propose                          | d nominees ar | re warranted        | <i>l.</i>   |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed not                           | minees are wa | rranted.            |             |         |                             |                         |                     |                         |                           |                        |  |
| 5.2                |   | Reelect Albert Baehny as Board Chair                                    | Mgmt          | Yes                 | For         | For     |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |   | Voting Policy Rationale: Votes FOR the proposed nominees are warranted. |               |                     |             |         |                             |                         |                     |                         |                           |                        |  |
|                    |   | Blended Rationale: Votes FOR the proposed nominees are warranted.       |               |                     |             |         |                             |                         |                     |                         |                           |                        |  |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent        | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec         | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|--|------------------|---------------------|--------------|-----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 5.3.1              |                     | Reappoint Angelica Kohlmann as<br>Member of the Nomination and<br>Compensation Committee   | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR the proposed nominees are warranted.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR the proposed nominees are warranted.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
| 5.3.2              |                     | Reappoint Christoph Maeder as<br>Member of the Nomination and<br>Compensation Committee  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR the propo   | osed nominees a  | re warranted        | 1.           |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR the proposed nominees are warranted.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
| 5.3.3              |                     | Reappoint Juergen Steinemann as<br>Member of the Nomination and<br>Compensation Committee  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR the propo   | osed nominees a  | re warranted        | 1.           |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR the proposed nominees are warranted.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
| 6                  |                     | Ratify KPMG AG as Auditors for Fiscal<br>Year 2023   | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR are warra   | anted because th | nere are no d       | oncerns reg  | arding these    | proposals.                  |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
| 7                  |                     | Ratify Deloitte AG as Auditors for Fiscal Year 2024  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR are warra   | anted because th | nere are no c       | oncerns reg  | arding these    | proposals.                  |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.  |                  |                     |              |                 |                             |                         |                     |                         |                           |                        |  |
| 8                  |                     | Designate ThomannFischer as Mgmt Yes For For For Do Not No Independent Proxy   |                  |                     |              |                 |                             |                         | No                  | No                      | No                        |                        |  |
| 9.1                |                     | Amend Corporate Purpose  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 9.2                |                     | Approve Creation of Capital Band<br>within the Upper Limit of CHF 86.6<br>Million and the Lower Limit of CHF<br>67.1 Million with or without Exclusion<br>of Preemptive Rights | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 9.3                |                     | Amend Articles Re: Voting on the Executive Committee Compensation  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 9.4                |                     | Amend Articles of Association  | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 10                 |                     | Approve Remuneration of Directors in the Amount of CHF 2.9 Million   | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
| 11.1               |                     | Approve Variable Short-Term<br>Remuneration of Executive Committee<br>in the Amount of CHF 3.5 Million for<br>Fiscal Year 2022   | Mgmt             | Yes                 | For          | For             |                             | For                     | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR these iterand do not raise significant concerns.  | ms are warrante  | d because th        | ne proposals | appear to be    | e in line with n            | narket practi           | ice                 |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR these items at  | re warranted bed | cause the pro       | oposals appe | ear to be in li | ine with marke              | et practice ai          | nd                  |                         |                           |                        |  |

do not raise significant concerns.

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent                          | Votable<br>Proposal            | Mgmt<br>Rec | ISS Rec         | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|--|------------------------------------|--------------------------------|-------------|-----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| 11.2               |                     | Approve Variable Long-Term Mgmt Yes For For For Do Not No<br>Remuneration of Executive Committee Vote<br>in the Amount of CHF 12.1 Million for<br>Fiscal Year 2023   |                                    |                                |             |                 |                             |                         |                     |                         |                           | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.  |                                    |                                |             |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.  |                                    |                                |             |                 |                             |                         |                     |                         |                           |                        |  |
| 11.3               |                     | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 3.3 Million for the Period July 1, 2023 - December 31, 2023   | Mgmt                               | Yes                            | For         | For             |                             | For                     | Do Not<br>Vote      | No                      | No I                      | No                     |  |
|                    |                     | Voting Policy Rationale: Votes FOR these items and do not raise significant concerns.  | are warranted                      | d because th                   | e proposals | s appear to be  | e in line with n            | narket practio          | ce                  |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.  |                                    |                                |             |                 |                             |                         |                     |                         |                           |                        |  |
| 11.4               |                     | Approve Fixed and Variable Long-Term Mgmt Yes For For For Do Not No Remuneration of Executive Committee Vote in the Amount of CHF 19.6 Million for the Period January 1, 2024 - December 31, 2024  |                                    |                                |             |                 |                             |                         | No                  | No                      | No                        |                        |  |
|                    |                     | Voting Policy Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.  |                                    |                                |             |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes FOR these items are do not raise significant concerns.  | warranted bed                      | ause the pro                   | pposals app | ear to be in li | ine with marke              | t practice an           | d                   |                         |                           |                        |  |
| 12                 |                     | Transact Other Business (Voting)   | Mgmt                               | Yes                            | For         | Against         |                             | Against                 | Do Not<br>Vote      | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: A vote AGAINST is warranted because: * This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and * The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis. |                                    |                                |             |                 |                             |                         |                     |                         |                           |                        |  |
|                    |                     | Blended Rationale: A vote AGAINST is warrant<br>the proxy in case new voting items or counterp<br>directors; and * The content of these new item<br>best interest to vote against this item on a pre-  | proposals are in<br>ns or counterp | ntroduced at<br>roposals is no | the meetir  | ng by shareho   | olders or the bo            | oard of                 |                     |                         |                           |                        |  |

#### Ballot Details

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | AutoApproved  | Auto-Instructed | Auto-Approved |                      | 4,520          | 0            |
| CIPATI , 15005                                       |                             |               | 03/27/2023      | 03/27/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 4,520          | 0            |

#### **Lonza Group AG**

Meeting Date: 05/05/2023Country: SwitzerlandTicker: LONNProxy Level: N/A

Record Date: Meeting Type: Annual Meeting ID: 1734285

 Primary Security ID: H50524133
 Primary CUSIP: H50524133
 Primary ISIN: CH0013841017
 Primary SEDOL: 7333378

Earliest Cutoff Date: 04/17/2023

Votable Shares: 5,960

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 5,960

Additional Policy: Shares Voted: 0

Vote

Did Not Vote Due to Ballot Shareblocking

| Proposal<br>Number | Significant<br>Vote | Proposal Text                 | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|-------------------------------|-----------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1                  |                     | Share Re-registration Consent | Mgmt      | Yes                 | For         | For     |                             | For                     | Do Not              | No                      | No                        | No                     |

**Ballot Details** 

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | AutoApproved  | Auto-Instructed | Auto-Approved |                      | 5,960          | 0            |
|  |                             |               | 03/27/2023      | 03/27/2023    |                      |                |              |
|  |                             |               |                 |               | :<br>Total Shares:   | 5,960          | 0            |

#### **LVMH Moet Hennessy Louis Vuitton SE**

Meeting Date: 04/20/2023 Country: France Ticker: MC Proxy Level: N/A

Record Date: 04/18/2023 Meeting Type: Annual/Special Meeting ID: 1724571

 Primary Security ID: F58485115
 Primary CUSIP: F58485115
 Primary ISIN: FR0000121014
 Primary SEDOL: 4061412

 Earliest Cutoff Date: 04/11/2023
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 5,715
 \*Shares on Loan: 0
 Shares Instructed: 5,715
 Shares Voted: 5,715

| Votable Shares: 5,/15 |                     | *Snares on Loan: 0  | Snares 1           | nstructed: 5,/      | 15           |                | Shares Voted: 5,/15         |                         |                     |  |                           |                        |  |  |  |  |  |  |  |  |
|-----------------------|---------------------|---|--------------------|---------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|--|---------------------------|------------------------|--|--|--|--|--|--|--|--|
| Proposal<br>Number    | Significant<br>Vote | Proposal Text   | Proponent          | Votable<br>Proposal | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt  | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |  |  |  |  |  |  |  |
|                       |                     | Ordinary Business   | Mgmt               | No                  |              |                |                             |                         |                     |  |                           |                        |  |  |  |  |  |  |  |  |
| 1                     |                     | Approve Financial Statements and Statutory Reports  | Mgmt               | Yes                 | For          | For            |                             | For                     | For                 | No   | No                        | No                     |  |  |  |  |  |  |  |  |
|                       |                     | Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. |                    |                     |              |                |                             |                         |                     |  |                           |                        |  |  |  |  |  |  |  |  |
|                       |                     | Blended Rationale: Votes FOR the approvalack of concerns.   | l of the annual ac | counts are w        | varranted d  | ue to the unqu | ualified auditor            | rs' opinion a           | nd                  |  |                           |                        |  |  |  |  |  |  |  |  |
| 2                     |                     | Approve Consolidated Financial<br>Statements and Statutory Reports  | Mgmt               | Yes                 | For          | For            |                             | For                     | For                 | No   | No                        | No                     |  |  |  |  |  |  |  |  |
|                       |                     | Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. |                    |                     |              |                |                             |                         |                     |  |                           |                        |  |  |  |  |  |  |  |  |
|                       |                     | Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.       |                    |                     |              |                |                             |                         |                     |  |                           |                        |  |  |  |  |  |  |  |  |
| 3                     |                     | Approve Allocation of Income and<br>Dividends of EUR 12.00 per Share  | Mgmt               | Yes                 | For          | For            |                             | For                     | For                 | No   | No                        | No                     |  |  |  |  |  |  |  |  |
| 4                     |                     | Approve Auditors' Special Report on<br>Related-Party Transactions   | Mgmt               | Yes                 | For          | Against        |                             | Against                 | Against             | Yes  | No                        | No                     |  |  |  |  |  |  |  |  |
|                       |                     | Voting Policy Rationale: A vote AGAINST is<br>transaction with Agache, important shareh<br>agreement is in shareholders' interests.                 |                    | , ,                 | ,            |                |                             | ,                       | the                 |  |                           |                        |  |  |  |  |  |  |  |  |
|                       |                     | Rlended Rationale: A vote AGAINST is war  | ranted as the Con  | nany failed         | to provide i | enouah inforn  | nation with res             | nect to the             |                     | Rlended Rationale: A vote AGAINST is warranted as the Company failed to provide enough information with respect to the |                           |                        |  |  |  |  |  |  |  |  |

Blended Rationale: A vote AGAINST is warranted as the Company failed to provide enough information with respect to the transaction with Agache, important shareholder, making it therefore impossible to ascertain that the continuation of this agreement is in shareholders' interests.

| Proposal<br>Number | Significant<br>Vote | Proposal Text                        | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Policy<br>Rec | Policy<br>Rec | Vote<br>Instruction | Against<br>Mgmt | Against<br>Policy | Against<br>ISS |   |
|--------------------|---------------------|--------------------------------------|-----------|---------------------|-------------|---------|---------------|---------------|---------------------|-----------------|-------------------|----------------|---|
| 5                  |                     | Reelect Delphine Arnault as Director | Mgmt      | Yes                 | For         | Against |               | Against       | Against             | Yes             | No                | No             | _ |

Voting Policy Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Blended Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the renuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Reelect Antonio Belloni as Director Mgmt Yes For Against Against Against Yes No

No

Voting Policy Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Blended Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

| Proposal<br>Number | Significant<br>Vote | Proposal Text                          | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Policy<br>Rec | Policy<br>Rec | Vote<br>Instruction | Against<br>Mgmt | Against<br>Policy | Against<br>ISS |   |
|--------------------|---------------------|--|-----------|---------------------|-------------|---------|---------------|---------------|---------------------|-----------------|-------------------|----------------|---|
| 7                  |                     | Reelect Marie-Josee Kravis as Director | Mamt      | Yes                 | For         | Against |               | Against       | Against             | Yes             | No                | No             | Ξ |

Voting Policy Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the renuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Blended Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Reelect Marie-Laure Sauty de Chalon Mgmt Yes For For For For No No No

Voting Policy Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

Blended Rationale: \* Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). \* Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). \* The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10).

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent   | Votable<br>Proposal  | Mgmt<br>Rec   | ISS Rec  | Additional<br>Policy<br>Rec  | Voting<br>Policy<br>Rec  | Vote<br>Instruction     | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
|--------------------|---------------------|--|---|--|---|--|--|--|-------------------------|-------------------------|---------------------------|------------------------|--|
| 9                  |                     | Reelect Natacha Valla as Director  | Mgmt  | Yes  | For   | For  |  | For  | For                     | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: * Votes AGAINST the independence at the board level (including all government representatives, employee representent recommended) (Items 5-7). * Votes F7) but are not without concerns considering the party transactions for the member of audit commember of the remuneration committee to be in excess of recommended guidelines for non-an investment company (Wendel) that has a selection warrants a CONTENTIOUS FOR (Items  | board member<br>entatives, and<br>OR the (re)ele<br>er ecurring hig<br>mmittee to be<br>reelected (iter<br>executive directignificant stake                   | rs: 31.3 perc<br>employee sh<br>ctions of the<br>gh dissents (i<br>reelected (ite<br>m 9). * The I<br>ctors. Howev | ent vs 33.3 pareholder re<br>se independ<br>>50% of the<br>em 8) and the<br>number of or<br>er, given the | percent recor<br>epresentative<br>lent nominee<br>e free float vo<br>ne executive i<br>utside manda<br>at the overbo | mmended; exceptions and the second se | cluding 7 percent vs ed (Items 6 a the related for the nurent Migno te comes fro       | 50<br>and<br>on is<br>m |                         |                           |                        |  |
|                    |                     | Blended Rationale: * Votes AGAINST the (re)e independence at the board level (including all government representatives, employee representent recommended) (Items 5-7). * Votes F7) but are not without concerns considering the party transactions for the member of audit commember of the remuneration committee to be in excess of recommended guidelines for non-an investment company (Wendel) that has a selection warrants a CONTENTIOUS FOR (Items  | board member<br>entatives, and<br>OR the (re)ele<br>er ecurring hig<br>mmittee to be a<br>reelected (iter<br>executive directignificant stake                 | rs: 31.3 perc<br>employee sh<br>ctions of the<br>gh dissents (i<br>reelected (ita<br>m 9). * The I<br>ctors. Howev | ent vs 33.3 pareholder rese independ<br>>50% of the<br>em 8) and the<br>number of over, given that        | percent recon<br>epresentative<br>lent nominee<br>e free float vo<br>ne executive i<br>utside manda<br>at the overbo | mmended; exc<br>es (if any): 35.<br>s are warrante<br>otes) on both<br>remunerations<br>ates held by La<br>parded mandat   | cluding  7 percent vs  ed (Items 6 a  the related  for the  nurent Migno  te comes fro | and<br>on is<br>m       |                         |                           |                        |  |
| 10                 |                     | Elect Laurent Mignon as Director   | Mgmt  | Yes  | For   | For  |  | For  | For                     | No                      | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: * Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (including all board members: 31.3 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 35.7 percent vs 50 percent recommended) (Items 5-7). * Votes FOR the (re)elections of these independent nominees are warranted (Items 6 and 7) but are not without concerns considering the recurring high dissents (>50% of the free float votes) on both the related party transactions for the member of audit committee to be reelected (item 8) and the executive remunerations for the member of the remuneration committee to be reelected (item 9). * The number of outside mandates held by Laurent Mignon is in excess of recommended guidelines for non-executive directors. However, given that the overboarded mandate comes from an investment company (Wendel) that has a significant stake in another one of his board commitments (Bureau Veritas), this election warrants a CONTENTIOUS FOR (Item 10). |   |  |   |  |  |  |                         |                         |                           |                        |  |
|                    |                     | Blended Rationale: * Votes AGAINST the (re)e independence at the board level (including all government representatives, employee represent recommended) (Items 5-7). * Votes F7) but are not without concerns considering the party transactions for the member of audit commember of the remuneration committee to be in excess of recommended guidelines for non-an investment company (Wendel) that has a selection warrants a CONTENTIOUS FOR (Items   | board member<br>entatives, and<br>OR the (re)ele<br>er ecurring hig<br>mmittee to be a<br>reelected (iter<br>executive directignificant stake                 | rs: 31.3 perc<br>employee sh<br>ctions of the<br>gh dissents (i<br>reelected (ita<br>m 9). * The I<br>ctors. Howev | ent vs 33.3 pareholder rese independ<br>>50% of the<br>em 8) and the<br>number of over, given that        | percent recon<br>epresentative<br>lent nominee<br>e free float vo<br>ne executive i<br>utside manda<br>at the overbo | mmended; exc<br>es (if any): 35.<br>ss are warrante<br>otes) on both<br>remunerations<br>ates held by La<br>parded mandat  | cluding  7 percent vs  ed (Items 6 a  the related  for the  nurent Migno  te comes fro | and<br>on is<br>m       |                         |                           |                        |  |
| 11                 |                     | Renew Appointment of Lord Powell of<br>Bayswater as Censor   | Mgmt  | Yes  | For   | Against  |  | Against  | Against                 | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST these items are warranted because the company has failed to provide an adequate rationale on the proposed nominations.  |   |  |   |  |  |  |                         |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST these items<br>on the proposed nominations.   | s are warrante  | d because th   | ne company  | has failed to  | provide an ad  | lequate ratio  | nale                    |                         |                           |                        |  |
| 12                 |                     | Appoint Diego Della Valle as Censor  | Mgmt  | Yes  | For   | Against  |  | Against  | Against                 | Yes                     | No                        | No                     |  |
|                    |                     | Voting Policy Rationale: Votes AGAINST these rationale on the proposed nominations.  | Voting Policy Rationale: Votes AGAINST these items are warranted because the company has failed to provide an adequate rationale on the proposed nominations. |  |   |  |  |  |                         |                         |                           |                        |  |
|                    |                     | Blended Rationale: Votes AGAINST these items<br>on the proposed nominations.   | s are warrante  | d because th   | ne company  | has failed to  | provide an ad  | lequate ratio  | nale                    |                         |                           |                        |  |

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent                       | Votable<br>Proposal              | Mgmt<br>Rec                    | ISS Rec       | Additional<br>Policy<br>Rec        | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|---------------------------------|----------------------------------|--------------------------------|---------------|------------------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 13                 |                     | Approve Compensation Report of Corporate Officers  | Mgmt                            | Yes                              | For                            | Against       |                                    | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST this recorded at several previous AGMs and the lacratio.   |                                 | -                                | _                              |               | the high leve<br>d perimeter us    |                         | ay                  |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST this remulat several previous AGMs and the lack of response  |                                 |                                  | _                              |               | high level of a<br>er used for the |                         | rded                |                         |                           |                        |
| 14                 |                     | Approve Compensation of Bernard<br>Arnault, Chairman and CEO   | Mgmt                            | Yes                              | For                            | Against       |                                    | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST this r<br>achievement of the performance conditions of<br>criteria of the LTI granted do not seem particu                                      | both the STI a                  | nd the LTIP                      |                                |               |                                    |                         |                     |                         | _                         |                        |
|                    |                     | Blended Rationale: A vote AGAINST this remulachievement of the performance conditions of criteria of the LTI granted do not seem particular.   | both the STI a                  | nd the LTIP                      |                                |               |                                    |                         | ce                  |                         |                           |                        |
| 15                 |                     | Approve Compensation of Antonio<br>Belloni, Vice-CEO   | Mgmt                            | Yes                              | For                            | Against       |                                    | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote AGAINST this rachievement of the performance conditions of criteria of the LTI granted do not seem particular.   | both the STI a                  | nd the LTIP                      |                                |               |                                    |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote AGAINST this remun<br>achievement of the performance conditions of<br>criteria of the LTI granted do not seem particu  | both the STI a                  | nd the LTIP                      |                                |               |                                    |                         | ce                  |                         |                           |                        |
| 16                 |                     | Approve Remuneration Policy of Directors   | Mgmt                            | Yes                              | For                            | For           |                                    | For                     | For                 | No                      | No                        | No                     |
| 17                 |                     | Approve Remuneration Policy of Chairman and CEO  | Mgmt                            | Yes                              | For                            | Against       |                                    | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST these targets or payout scales for the annual bonus; are not disclosed; • Post-mandate vesting of L deemed too broad; and • The cap on the exce | • The nature of TI grant is not | of the LTIP co<br>explicitly exc | riteria, the v<br>cluded; • Th | esting scales | and the perfo                      | ormance per             |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST these remutargets or payout scales for the annual bonus; are not disclosed; • Post-mandate vesting of L deemed too broad; and • The cap on the exce   | • The nature of TI grant is not | of the LTIP co<br>explicitly exc | riteria, the v<br>cluded; • Th | esting scales | and the perfo                      | ormance per             | iod                 |                         |                           |                        |
| 18                 |                     | Approve Remuneration Policy of Vice-CEO  | Mgmt                            | Yes                              | For                            | Against       |                                    | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST these targets or payout scales for the annual bonus; are not disclosed; • Post-mandate vesting of L deemed too broad; and • The cap on the exce | • The nature of TI grant is not | of the LTIP co<br>explicitly exc | riteria, the v<br>cluded; • Th | esting scales | and the perfo                      | ormance per             |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST these remutargets or payout scales for the annual bonus; are not disclosed; • Post-mandate vesting of Leemed too broad; and • The cap on the exce     | • The nature of TI grant is not | of the LTIP co<br>explicitly exc | riteria, the v<br>cluded; • Th | esting scales | and the perfo                      | ormance per             | iod                 |                         |                           |                        |
| 19                 |                     | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital  | Mgmt                            | Yes                              | For                            | For           |                                    | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Extraordinary Business   | Mgmt                            | No                               |                                |               |                                    |                         |                     |                         |                           |                        |
| 20                 |                     | Authorize Decrease in Share Capital<br>via Cancellation of Repurchased<br>Shares   | Mgmt                            | Yes                              | For                            | For           |                                    | For                     | For                 | No                      | No                        | No                     |
| 21                 |                     | Authorize Capitalization of Reserves of<br>Up to EUR 20 Million for Bonus Issue<br>or Increase in Par Value  | Mgmt                            | Yes                              | For                            | For           |                                    | For                     | For                 | No                      | No                        | No                     |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal            | Mgmt<br>Rec                   | ISS Rec                 | Additional<br>Policy<br>Rec      | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Agains<br>ISS |
|--------------------|---------------------|---|-----------------|--------------------------------|-------------------------------|-------------------------|----------------------------------|-------------------------|---------------------|-------------------------|---------------------------|-----------------------|
| 22                 |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities with<br>Preemptive Rights up to Aggregate<br>Nominal Amount of EUR 20 Million   | Mgmt            | Yes                            | For                           | For                     |                                  | For                     | For                 | No                      | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preei<br>v do not resp | mptive right<br>pect the rec  | ts. * Vol<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preei<br>v do not resp | mptive right<br>pect the rec  | ts. * Vol<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       |                     |                         |                           |                       |
| 23                 |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities without<br>Preemptive Rights With Binding<br>Priority Right up to Aggregate Nominal<br>Amount of EUR 20 Million                           | Mgmt            | Yes                            | For                           | Against                 |                                  | Against                 | Against             | Yes                     | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preei<br>v do not resp | mptive right<br>pect the rec  | ts. * Vol<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preei<br>v do not resp | mptive right<br>pect the rec  | s. * Voi<br>ommended 1  | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       |                     |                         |                           |                       |
| 24                 |                     | Approve Issuance of Equity or<br>Equity-Linked Securities for Qualified<br>Investors, up to Aggregate Nominal<br>Amount of EUR 20 Million   | Mgmt            | Yes                            | For                           | Against                 |                                  | Against                 | Against             | Yes                     | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preei<br>v do not resp | mptive right<br>pect the reco | ts. * Vol<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preer<br>v do not resp | mptive right<br>pect the reco | ts. * Vot<br>ommended 1 | tes AGAINST ti<br>O-percent guid | he<br>Jelines for       |                     |                         |                           |                       |
| 25                 |                     | Authorize Board to Increase Capital in<br>the Event of Additional Demand<br>Related to Delegation Submitted to<br>Shareholder Vote Above  | Mgmt            | Yes                            | For                           | Against                 |                                  | Against                 | Against             | Yes                     | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preer<br>v do not resp | mptive right<br>pect the reco | ts. * Vot<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preer<br>v do not resp | mptive right<br>pect the rec  | ts. * Vot<br>ommended 1 | tes AGAINST ti<br>0-percent guid | he<br>Jelines for       |                     |                         |                           |                       |

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal            | Mgmt<br>Rec                  | ISS Rec                  | Additional<br>Policy<br>Rec      | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Agains<br>ISS |
|--------------------|---------------------|---|-----------------|--------------------------------|------------------------------|--------------------------|----------------------------------|-------------------------|---------------------|-------------------------|---------------------------|-----------------------|
| 26                 |                     | Authorize Capital Increase of Up to<br>EUR 20 Million for Future Exchange<br>Offers   | Mgmt            | Yes                            | For                          | Against                  |                                  | Against                 | Against             | Yes                     | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issual authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | s. * Vot<br>ommended 10  | tes AGAINST th<br>O-percent guid | he<br>elines for        | S                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issual authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | s. * Vot<br>ommended 10  | tes AGAINST th<br>O-percent guid | he<br>elines for        |                     |                         |                           |                       |
| 27                 |                     | Authorize Capital Increase of up to 10<br>Percent of Issued Capital for<br>Contributions in Kind  | Mgmt            | Yes                            | For                          | For                      |                                  | For                     | For                 | No                      | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the a respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution. | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | s. * Vot<br>ommended 1   | tes AGAINST th<br>O-percent guid | ne<br>elines for        | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the authorespect the recommended guidelines for issual authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.    | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | s. * Vot<br>ommended 10  | tes AGAINST th<br>O-percent guid | he<br>elines for        |                     |                         |                           |                       |
| 28                 |                     | Authorize up to 1 Percent of Issued<br>Capital for Use in Stock Option Plans<br>Reserved for Employees and Corporate<br>Officers  | Mgmt            | Yes                            | For                          | Against                  |                                  | Against                 | Against             | Yes                     | No                        | No                    |
|                    |                     | Voting Policy Rationale: A vote AGAINST this reperformance conditions. * The vesting period in  |                 |                                |                              |                          |                                  | ne criteria of          |                     |                         |                           |                       |
|                    |                     | Blended Rationale: A vote AGAINST this resolu<br>performance conditions. * The vesting period it  |                 |                                |                              |                          |                                  | teria of                |                     |                         |                           |                       |
| 29                 |                     | Authorize Capital Issuances for Use in<br>Employee Stock Purchase Plans   | Mgmt            | Yes                            | For                          | For                      |                                  | For                     | For                 | No                      | No                        | No                    |
| 30                 |                     | Set Total Limit for Capital Increase to<br>Result from All Issuance Requests at<br>EUR 20 Million   | Mgmt            | Yes                            | For                          | For                      |                                  | For                     | For                 | No                      | No                        | No                    |
|                    |                     | Voting Policy Rationale: * Votes FOR the respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.   | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | rs. * Vot<br>ommended 10 | tes AGAINST th<br>0-percent guid | he<br>elines for        | s                   |                         |                           |                       |
|                    |                     | Blended Rationale: * Votes FOR the author respect the recommended guidelines for issuar authorizations under Items 23-26 are warrante issuances without preemptive rights. * A shareholder dilution.  | nces with and v | vithout preei<br>y do not resp | mptive right<br>pect the rec | rs. * Vot<br>ommended 10 | tes AGAINST th<br>O-percent guid | he<br>elines for        |                     |                         |                           |                       |
| Ballot Deta        | ails                |   |                 |                                |                              |                          |                                  |                         |                     |                         |                           |                       |

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved | Issuer Confirmed     | 5,715          | 5,715        |
| G. W. W. 7, 155055                                   |                             |               | 03/31/2023      | 04/18/2023    | 05/24/2023           |                |              |
|  |                             |               |                 |               | Total Shares:        | 5,715          | 5,715        |

### **Mastercard Incorporated**

Meeting Date: 06/27/2023

Primary Security ID: 57636Q104

Country: USA

Ticker: MA

Proxy Level: 3

**Record Date:** 04/28/2023

Meeting Type: Annual **Primary CUSIP:** 57636Q104

**Meeting ID:** 1754019 Primary ISIN: US57636Q1040

Primary SEDOL: B121557

Earliest Cutoff Date: 06/23/2023

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 5,845

\*Shares on Loan: 0

Shares Instructed: 5,845

Shares Voted: 5,845

| votable 5          | 114163. 3,013       | Shares on Loan. 0   |                  |                     | Silai es 1  | istructeu. 3,0 | 15                          |                         | Silares voted       | 1. 3,013                |                           |                        |
|--------------------|---------------------|---|------------------|---------------------|-------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent        | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
| 1a                 |                     | Elect Director Merit E. Janow   | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         | *                         |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1b                 |                     | Elect Director Candido Bracher  | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1c                 |                     | Elect Director Richard K. Davis   | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1d                 |                     | Elect Director Julius Genachowski                                       | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | ominees is war   | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1e                 |                     | Elect Director Choon Phong Goh  | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1f                 |                     | Elect Director Oki Matsumoto  | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is wari | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1g                 |                     | Elect Director Michael Miebach  | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1h                 |                     | Elect Director Youngme Moon   | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         |                           |                        |
| 1i                 |                     | Elect Director Rima Qureshi   | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the direct                          | tor nominees is  | warranted.          |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director n                            | nominees is war  | ranted.             |             |                |                             |                         |                     |                         | -                         |                        |
| 1j                 |                     | Elect Director Gabrielle Sulzberger                                     | Mgmt             | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                  |                     |             |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director in                           | nominees is war  | ranted.             |             |                |                             |                         |                     |                         | -                         |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Mastercard Incorporated**

| Proposal<br>Number           | Significant<br>Vote       | Proposal Text  |                     | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|------------------------------|---------------------------|--|---------------------|-----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1k                           |                           | Elect Director Harit Talwar  |                     | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                           | Voting Policy Rationale: A v   | ote FOR the directo | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|                              |                           | Blended Rationale: A vote F  | OR the director nor | ninees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 11                           |                           | Elect Director Lance Uggla   |                     | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                           | Voting Policy Rationale: A v   | ote FOR the directo | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |
|                              |                           | Blended Rationale: A vote F  | OR the director non | ninees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |
| 2                            |                           | Advisory Vote to Ratify Nar<br>Executive Officers' Comper  |                     | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 3                            |                           | Advisory Vote on Say on Pa<br>Frequency  | у                   | Mgmt            | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |
| 4                            |                           | Approve Qualified Employe<br>Purchase Plan   | e Stock             | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 5                            |                           | Ratify PricewaterhouseCoo<br>Auditors  | oers LLP as         | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |
| 6                            |                           | Report on Overseeing Risks<br>Discrimination   | Related to          | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| 7                            |                           | Report on Establishing Mer<br>Category Code for Gun and<br>Ammunition Stores                             |                     | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| 8                            |                           | Report on Lobbying Payme<br>Policy   | nts and             | SH              | Yes                 | Against     | For      |                             | For                     | For                 | Yes                     | No                        | No                     |
|                              |                           | Voting Policy Rationale: A v<br>lobbying-related expenditur<br>participation in the public participation | es would help share |                 |                     |             |          | -                           |                         |                     |                         |                           |                        |
|                              |                           | Blended Rationale: A vote F<br>lobbying-related expenditur<br>participation in the public p              | es would help share |                 |                     |             |          |                             |                         |                     |                         |                           |                        |
| 9                            |                           | Amend Bylaws to Require S<br>Approval of Certain Provision<br>to Director Nominations by<br>Shareholders |                     | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| 10                           |                           | Report on Cost-Benefit Ana<br>Diversity and Inclusion Effo   | •                   | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |
| Ballot Deta                  | ils                       |  |                     |                 |                     |             |          |                             |                         |                     |                         |                           |                        |
| Institutional (IA Name, IA   | Account Detail<br>Number) | Custodian<br>Account Number  | Ballot Status       | Instructed      | d                   | Approve     | i        | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                  |
| FORUM ONE SC<br>CHAMP, 45863 | Quare megatrei            | ND 0045863   | Confirmed           | Auto-Instru     |                     | Auto-Appr   |          |                             |                         | 5,84                | 5                       | 5,8                       | 45                     |
|                              |                           |  |                     | 06/14/2023      | 3                   | 06/14/202   | 3        |                             |                         |                     |                         |                           |                        |

### **Microsoft Corporation**

 Meeting Date: 12/07/2023
 Country: USA
 Ticker: MSFT
 Proxy Level: 3

Record Date: 09/29/2023 Meeting Type: Annual Meeting ID: 1798481

 Primary Security ID: 594918104
 Primary CUSIP: 594918104
 Primary ISIN: US5949181045
 Primary SEDOL: 2588173

Total Shares:

5,845

5,845

#### **Microsoft Corporation**

Earliest Cutoff Date: 12/05/2023 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 21.095 \*Shares on Loan: 0 Shares Instructed: 21.095 Shares Voted: 21.095

Additional Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS No Elect Director Reid G. Hoffman Yes For No 1.01 Mgmt For For For No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.02 Elect Director Hugh F. Johnston For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.03 Elect Director Teri L. List Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.04 Elect Director Catherine MacGregor Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.05 Elect Director Mark A. L. Mason Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.06 Elect Director Satya Nadella Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.07 Elect Director Sandra E. Peterson For For For For No No Mgmt Yes No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.08 Elect Director Penny S. Pritzker Mamt Yes For For For For Nο Nο Nο Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.09 Elect Director Carlos A. Rodriguez Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.10 Elect Director Charles W. Scharf Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.11 Elect Director John W. Stanton Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Microsoft Corporation**

| Proposal Significant<br>Number Vote                  | Proposal Text  |                  | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Agains<br>ISS |
|--|--|------------------|-----------------|---------------------|-------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|-----------------------|
| 1.12   | Elect Director Emma N. Walms   | sley             | Mgmt            | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                    |
|  | Voting Policy Rationale: A vote  | FOR the director | nominees is     | warranted.          |             |                |                             |                         |                     |                         |                           |                       |
|  | Blended Rationale: A vote FOR  | the director non | ninees is warra | anted.              |             |                |                             |                         |                     |                         |                           |                       |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensati                      |                  | Mgmt            | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                    |
| 3  | Advisory Vote on Say on Pay<br>Frequency   |                  | Mgmt            | Yes                 | One Year    | One Year       |                             | One<br>Year             | One Year            | No                      | No                        | No                    |
| 4  | Ratify Deloitte and & Touche a Auditors  | as               | Mgmt            | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                    |
| 5  | Report on Gender-Based<br>Compensation and Benefits Inc                              | equities         | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 6  | Report on Risks of Omitting Vi<br>and Ideological Diversity from<br>Policy           |                  | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 7  | Report on Government Take D<br>Requests  | own              | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 8  | Report on Risks of Weapons<br>Development  |                  | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 9  | Report on Climate Risk in Retir<br>Plan Options                                      | rement           | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 10   | Publish a Tax Transparency Re  | eport            | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 11   | Report on Risks of Operating in<br>Countries with Significant Hum<br>Rights Concerns |                  | SH              | Yes                 | Against     | For            |                             | For                     | For                 | Yes                     | No                        | No                    |
|  | Voting Policy Rationale: A vote how the company is managing                          |                  |                 |                     |             | enefit from in | ocreased disclo             | sure regara             | ling                |                         |                           |                       |
|  | Blended Rationale: A vote FOR the company is managing huma                           |                  |                 |                     |             | from increas   | sed disclosure              | regarding h             | oow                 |                         |                           |                       |
| 12   | Adopt a Policy Requiring Third<br>Groups to Report Their Politica<br>Expenditures    |                  | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| 13   | Report on Risks Related to AI<br>Generated Misinformation and<br>Disinformation      |                  | SH              | Yes                 | Against     | Against        |                             | Against                 | Against             | No                      | No                        | No                    |
| Ballot Details                                       |  |                  |                 |                     |             |                |                             |                         |                     |                         |                           |                       |
| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number  | Ballot Status    | Instructed      | i                   | Approved    | i              | Ballot Voting               | Status                  | Votable S           | hares                   | Shares                    | Voted                 |
| FORUM ONE SQUARE MEGATREN<br>CHAMP, 45863            | ND 0045863   | Confirmed        | Auto-Instru     | icted               | Auto-Appr   | oved           |                             |                         | 21,09               | 5                       | 21,                       | 095                   |
| SIPE IF , 13003                                      |  |                  | 11/20/2023      | 3                   | 11/20/202   | 3              |                             |                         |                     |                         |                           |                       |

### **Moody's Corporation**

Meeting Date: 04/18/2023Country: USATicker: MCOProxy Level: 3

Record Date: 02/21/2023 Meeting Type: Annual Meeting ID: 1725275

 Primary Security ID: 615369105
 Primary CUSIP: 615369105
 Primary ISIN: US6153691059
 Primary SEDOL: 2252058

Total Shares:

21,095

21,095

# **Moody's Corporation**

Earliest Cutoff Date: 04/14/2023

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 5,295 \*Shares on Loan: 0 Shares Instructed: 5,295 Shares Voted: 5,295

|            | cion Mgmt Policy | Against<br>ISS       |
|------------|------------------|----------------------|
| 1a         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1b         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1c         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1d         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1e         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1f         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1g         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1h         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| <b>1</b> i | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 1j         | No No            | No                   |
|            |                  |                      |
|            |                  |                      |
| 2          | No No            | No                   |
| 3          | No No            | No                   |
| 4          | No No            | No                   |
| 5          | ar No No         | No                   |
| 2 3 4      | N<br>N           | o No<br>o No<br>o No |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Moody's Corporation**

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 5,295          | 5,295        |
| CHAII, 15005   |                             |               | 03/23/2023      | 03/23/2023    | _                    |                |              |
|  |                             |               |                 |               | Total Shares:        | 5,295          | 5,295        |

#### **S&P Global Inc.**

Meeting Date: 05/03/2023 **Record Date:** 03/13/2023

Earliest Cutoff Date: 05/01/2023

Country: USA Meeting Type: Annual Ticker: SPGI **Meeting ID:** 1732143 Proxy Level: 3

Primary Security ID: 78409V104

Primary CUSIP: 78409V104

Primary ISIN: US78409V1044

Primary SEDOL: BYV2325

Votable Shares: 9,859

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 9,859

Voting Policy: ISS

**Additional Policy:** Shares Voted: 9,859

| Proposal<br>Number | Significant<br>Vote | Proposal Text                                    | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|----------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1.1                |                     | Elect Director Marco Alvera                      | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.2                |                     | Elect Director Jacques Esculier                  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.3                |                     | Elect Director Gay Huey Evans                    | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.4                |                     | Elect Director William D. Green                  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.5                |                     | Elect Director Stephanie C. Hill                 | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         | *                         |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.6                |                     | Elect Director Rebecca Jacoby                    | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.7                |                     | Elect Director Robert P. Kelly                   | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
| 1.8                |                     | Elect Director Ian Paul Livingston               | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR the director | or nominees is | warranted.          |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR the director no    | minees is warr | anted.              |             |         |                             |                         |                     |                         |                           |                        |
|                    |                     |  |                |                     |             |         |                             |                         |                     |                         |                           |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **S&P Global Inc.**

| Proposal<br>Number           | Significant<br>Vote           | Proposal Text   |                     | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec       | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|------------------------------|-------------------------------|---|---------------------|----------------|---------------------|-------------|---------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 1.9                          |                               | Elect Director Deborah D.   | McWhinney           | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: A  | ote FOR the direct  | or nominees is | warranted.          |             |               |                             |                         |                     |                         |                           |                        |
|                              |                               | Blended Rationale: A vote   | FOR the director no | minees is warr | anted.              |             |               |                             |                         |                     |                         |                           |                        |
| 1.10                         |                               | Elect Director Maria R. Mo  | rris                | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: A  | ote FOR the direct  | or nominees is | warranted.          |             |               |                             |                         |                     |                         |                           |                        |
|                              |                               | Blended Rationale: A vote   | FOR the director no | minees is warr | anted.              |             |               |                             |                         |                     |                         |                           |                        |
| 1.11                         |                               | Elect Director Douglas L. P   | eterson             | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: A  | ote FOR the direct  | or nominees is | warranted.          |             |               |                             |                         |                     |                         |                           |                        |
|                              |                               | Blended Rationale: A vote FOR the director nominees is warranted.       |                     |                |                     |             |               |                             |                         |                     |                         |                           |                        |
| 1.12                         |                               | Elect Director Richard E. T   | hornburgh           | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                     |                |                     |             |               |                             |                         |                     |                         |                           |                        |
|                              |                               | Blended Rationale: A vote   | FOR the director no | minees is warr | anted.              |             |               |                             |                         |                     |                         |                           |                        |
| 1.13                         |                               | Elect Director Gregory Was  | shington            | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
|                              |                               | Voting Policy Rationale: A  | ote FOR the direct  | or nominees is | warranted.          |             |               |                             |                         |                     |                         |                           |                        |
|                              |                               | Blended Rationale: A vote   | FOR the director no | minees is warr | anted.              |             |               |                             |                         |                     |                         |                           |                        |
| 2                            |                               | Advisory Vote to Ratify Na<br>Executive Officers' Compet                |                     | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
| 3                            |                               | Advisory Vote on Say on P<br>Frequency                                  | ay                  | Mgmt           | Yes                 | One Year    | One Year      |                             | One<br>Year             | One Year            | No                      | No                        | No                     |
| 4                            |                               | Ratify Ernst & Young LLP a  | as Auditors         | Mgmt           | Yes                 | For         | For           |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                  | ails                          |   |                     |                |                     |             |               |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, I | l Account Detail<br>A Number) | Custodian<br>Account Number   | Instructe           | d              | Approve             | d           | Ballot Voting | Status                      | Votable S               | hares               | Shares '                | Voted                     |                        |
| FORUM ONE S                  | GQUARE MEGATREN               | ID 0045863  | Confirmed           | Auto-Instru    | ucted               | Auto-Appr   | roved         |                             |                         | 9,859               | )                       | 9,8                       | 59                     |
| ,                            | -                             |   | 04/13/202           | 3              | 04/13/202           | 23          |               |                             |                         |                     |                         |                           |                        |
|                              |                               |   |                     |                |                     |             |               |                             |                         |                     | 9                       | 9,8                       | 59                     |

#### Safran SA

Ordinary Business

| Meeting Date: 05/25/2023<br>Record Date: 05/23/2023 | Country: France Meeting Type: Annual/Special |                     | Ticker: SA  | NF<br>I <b>D:</b> 1735826 | 5                           |                         | Proxy Level         | : N/A                   |                           |                        |
|---|--|---------------------|-------------|---------------------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Primary Security ID: F4035A557                      | Primary CUSIP: F4035A557                     |                     | -           | SIN: FR000                |                             |                         | Primary SEI         | OCL: B058T              | <b>Z</b> 6                |                        |
| Earliest Cutoff Date: 05/15/2023                    | Total Ballots: 1                             |                     | Voting Poli | cy: ISS                   |                             |                         | Additional Po       | licy:                   |                           |                        |
| Votable Shares: 27,158                              | *Shares on Loan: 0                           |                     | Shares Ins  | tructed: 27,1             | 158                         |                         | Shares Voted        | : 27,158                |                           |                        |
| Proposal Significant<br>Number Vote Proposal Text   | Proponent                                    | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec                   | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |

Mgmt

No

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent   | Votable<br>Proposal   | Mgmt<br>Rec   | ISS Rec                                      | Additional<br>Policy<br>Rec                            | Voting<br>Policy<br>Rec                       | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|---|---|---|--|--|---|---------------------|-------------------------|---------------------------|------------------------|
| 1                  |                     | Approve Financial Statements and Statutory Reports   | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approand lack of concerns.  | oval of the annu  | al accounts a   | are warrante  | ed due to the                                | unqualified au   | ıditors' opini                                | on                  |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval clack of concerns.   | of the annual acc   | counts are w  | varranted du  | e to the unqu                                | ualified auditoi                                       | rs' opinion aı                                | nd                  |                         |                           |                        |
| 2                  |                     | Approve Consolidated Financial<br>Statements and Statutory Reports   | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes FOR the approand lack of concerns.  | oval of the annu  | al accounts a   | are warrante  | ed due to the                                | unqualified au   | ıditors' opini                                | ion                 |                         |                           |                        |
|                    |                     | Blended Rationale: Votes FOR the approval clack of concerns.   | of the annual acc   | counts are w  | varranted du  | e to the unqu                                | ualified auditoi                                       | rs' opinion ar                                | nd                  |                         |                           |                        |
| 3                  |                     | Approve Allocation of Income and Dividends of EUR 1.35 per Share   | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 4                  |                     | Approve Transaction with Airbus SE,<br>Tikehau ACE Capital, AD Holding and<br>the State  | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 5                  |                     | Ratify Appointment of Alexandre<br>Lahousse as Director  | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (refabrice Bregier) are warranted in the absence non-independent nominees (Ross McInnes, of board independence (including all board in representatives, employee representatives, arecommended) and the absence of specific of specif | re of specific con<br>Olivier Andries a<br>nembers: 50.0 p<br>and employee sh | ncerns (Item<br>nd Alexandro<br>percent vs 33<br>pareholder re  | s 6, 9 and 1<br>e Lahousse)<br>8.3 percent l<br>epresentative | 0). * Votes F<br>are warrante<br>recommended | FOR the (re)ele<br>ed given the sa<br>d; excluding go  | ections of the<br>atisfactory le<br>overnment | ese                 |                         |                           |                        |
|                    |                     | Blended Rationale: * Votes FOR the (re)elect<br>Bregier) are warranted in the absence of spe<br>non-independent nominees (Ross McInnes, of<br>foord independence (including all board in<br>representatives, employee representatives, a<br>recommended) and the absence of specific of  | ecific concerns (1<br>Olivier Andries a<br>nembers: 50.0 p<br>and employee sh | Items 6, 9 ar<br>nd Alexandro<br>percent vs 33<br>pareholder re | nd 10). * Vo<br>e Lahousse)<br>8.3 percent i<br>epresentativ  | tes FOR the (<br>are warrante<br>recommended | (re)elections of<br>ed given the sa<br>d; excluding go | f these<br>atisfactory le<br>overnment        |                     |                         |                           |                        |
| 6                  |                     | Ratify Appointment of Robert Peugeot as Director   | Mgmt  | Yes   | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (re, Fabrice Bregier) are warranted in the absence non-independent nominees (Ross McInnes, of board independence (including all board in representatives, employee representatives, a recommended) and the absence of specific of spe | re of specific con<br>Olivier Andries a<br>nembers: 50.0 p<br>and employee sh | ncerns (Item<br>nd Alexandro<br>percent vs 33<br>pareholder re  | s 6, 9 and 1<br>e Lahousse)<br>8.3 percent i<br>epresentativ  | 0). * Votes F<br>are warrante<br>recommended | OR the (re)ele<br>ed given the sa<br>d; excluding go   | ections of the<br>atisfactory le<br>overnment | ese                 |                         |                           |                        |
|                    |                     | Blended Rationale: * Votes FOR the (re)elect<br>Bregier) are warranted in the absence of spe<br>non-independent nominees (Ross McInnes, of<br>of board independence (including all board in<br>representatives, employee representatives, a  | ecific concerns (1<br>Olivier Andries a<br>nembers: 50.0 p                    | items 6, 9 ai<br>nd Alexandro<br>percent vs 33                  | nd 10). * Vo<br>e Lahousse)<br>8.3 percent i                  | tes FOR the (<br>are warrante<br>recommended | (re)elections of<br>ed given the sa<br>d; excluding go | f these<br>atisfactory le<br>overnment        |                     |                         |                           |                        |

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

recommended) and the absence of specific concerns (Items 5, 7, 8 and 11).

| Proposal<br>Number | Significant<br>Vote | Proposal Text  | Proponent  | Votable<br>Proposal   | Mgmt<br>Rec  | ISS Rec   | Additional<br>Policy<br>Rec  | Voting<br>Policy<br>Rec   | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|--|--|---|--|---|--|---|---------------------|-------------------------|---------------------------|------------------------|
| 7                  |                     | Reelect Ross McInnes as Director   | Mgmt   | Yes   | For  | For   |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (re)ele<br>Fabrice Bregier) are warranted in the absence of<br>non-independent nominees (Ross McInnes, Olic<br>of board independence (including all board men<br>representatives, employee representatives, and<br>recommended) and the absence of specific con   | of specific cond<br>vier Andries an<br>mbers: 50.0 pe<br>d employee sha  | cerns (Items<br>d Alexandre<br>ercent vs 33.<br>areholder rep   | 6, 9 and 10<br>Lahousse) a<br>3 percent re<br>presentatives  | ). * Votes FC<br>are warranted<br>commended   | OR the (re)elec<br>d given the sat<br>l; excluding gov   | tions of thes<br>isfactory lev<br>vernment  |                     |                         |                           |                        |
|                    |                     | Blended Rationale: * Votes FOR the (re)election<br>Bregier) are warranted in the absence of specific<br>non-independent nominees (Ross McInnes, Olivor)<br>of board independence (including all board meter<br>representatives, employee representatives, and<br>recommended) and the absence of specific control  | fic concerns (It<br>vier Andries an<br>mbers: 50.0 pe<br>I employee sha  | rems 6, 9 and<br>d Alexandre<br>ercent vs 33.<br>areholder rep  | d 10). * Vote<br>Lahousse) a<br>3 percent re<br>presentatives  | es FOR the (in a commended)   | re)elections of<br>d given the sat<br>l; excluding gov   | these<br>isfactory lev<br>vernment  |                     |                         |                           |                        |
| 8                  |                     | Reelect Olivier Andries as Director  | Mgmt   | Yes   | For  | For   |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (re)ele<br>Fabrice Bregier) are warranted in the absence of<br>non-independent nominees (Ross McInnes, Olin<br>of board independence (including all board men<br>representatives, employee representatives, and<br>recommended) and the absence of specific con<br>Blended Rationale: * Votes FOR the (re)election<br>Bregier) are warranted in the absence of specific<br>non-independent nominees (Ross McInnes, Olin<br>of board independence (including all board men<br>representatives, employee representatives, and<br>recommended) and the absence of specific com | of specific cono<br>vier Andries an<br>imbers: 50.0 pe<br>d employee sha<br>cerns (Items 5<br>ins of these ind<br>fic concerns (It<br>vier Andries an<br>imbers: 50.0 pe<br>d employee sha | cerns (Items d Alexandre ercent vs 33. archolder rep 5, 7, 8 and 1. dependent no ems 6, 9 and d Alexandre ercent vs 33. archolder rep | 6, 9 and 10;<br>Lahousse) a<br>3 percent re-<br>presentatives<br>1).<br>pminees (Lau<br>d 10). * Vota<br>Lahousse) a<br>3 percent re-<br>presentatives | ). * Votes FC<br>are warranted<br>commended<br>fs (if any): 72<br>arent Guillot,<br>es FOR the (i<br>are warranted<br>commended | OR the (re)elect d given the sat l; excluding gov l.7 percent vs 5 Robert Peugee re)elections of d given the sat l; excluding gov  | tions of thes<br>isfactory lev<br>vernment<br>50 percent<br>ot and Fabrio<br>these<br>isfactory lev<br>vernment | ce                  |                         |                           |                        |
| 9                  |                     | Elect Fabrice Bregier as Director  | Mgmt   | Yes   | For  | For   |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (re)ele<br>Fabrice Bregier) are warranted in the absence on non-independent nominees (Ross McInnes, Olivof board independence (including all board mer representatives, employee representatives, and recommended) and the absence of specific control  | of specific cond<br>vier Andries an<br>mbers: 50.0 pe<br>d employee sha  | cerns (Items<br>d Alexandre<br>ercent vs 33.<br>areholder rep   | 6, 9 and 10<br>Lahousse) a<br>3 percent re<br>presentatives  | ). * Votes FC<br>are warranted<br>commended   | OR the (re)elec<br>d given the sat<br>l; excluding gov   | tions of thes<br>isfactory leve<br>vernment   |                     |                         |                           |                        |
|                    |                     | Blended Rationale: * Votes FOR the (re)election<br>Bregier) are warranted in the absence of specific<br>non-independent nominees (Ross McInnes, Olino<br>of board independence (including all board mention representatives, employee representatives, and<br>recommended) and the absence of specific commended.  | fic concerns (It<br>vier Andries an<br>mbers: 50.0 pe<br>d employee sha  | rems 6, 9 and<br>d Alexandre<br>ercent vs 33.<br>areholder rep  | d 10). * Vote<br>Lahousse) a<br>3 percent re<br>presentatives  | es FOR the (i<br>are warranted<br>commended   | re)elections of<br>d given the sat<br>l; excluding go  | these<br>isfactory lev<br>vernment  |                     |                         |                           |                        |
| 10                 |                     | Reelect Laurent Guillot as Director  | Mgmt   | Yes   | For  | For   |  | For   | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: * Votes FOR the (re)ele<br>Fabrice Bregier) are warranted in the absence of<br>non-independent nominees (Ross McInnes, Oline<br>of board independence (including all board mer<br>representatives, employee representatives, and<br>recommended) and the absence of specific com<br>Blended Rationale: * Votes FOR the (re)election<br>Bregier) are warranted in the absence of specifi<br>non-independent nominees (Ross McInnes, Olin<br>of board independence (including all board mer<br>representatives, employee representatives, and<br>recommended) and the absence of specific com | of specific cono<br>vier Andries an<br>imbers: 50.0 pe<br>d employee sha<br>cerns (Items 5<br>ins of these ina<br>fic concerns (It<br>vier Andries an<br>imbers: 50.0 pe<br>d employee sha | cerns (Items d Alexandre ercent vs 33. areholder rep 5, 7, 8 and 1. dependent no ems 6, 9 and d Alexandre ercent vs 33. areholder rep | 6, 9 and 10;<br>Lahousse) a<br>3 percent re-<br>presentatives<br>1).<br>pminees (Lau<br>d 10). * Voto<br>Lahousse) a<br>3 percent re-<br>presentatives | ). * Votes FC<br>are warranted<br>commended<br>f (if any): 72<br>arent Guillot,<br>es FOR the (i<br>are warranted<br>commended  | OR the (re)elect d given the sat l; excluding gov l. 7 percent vs 5 Robert Peugee re)elections of d given the sat l; excluding gov | tions of thes<br>isfactory lev<br>vernment<br>50 percent<br>ot and Fabrio<br>these<br>isfactory lev<br>vernment | ce                  |                         |                           |                        |

| roposal<br>lumber | Significant<br>Vote | Proposal Text  | Proponent   | Votable<br>Proposal  | Mgmt<br>Rec   | ISS Rec                                    | Additional<br>Policy<br>Rec                            | Voting<br>Policy<br>Rec                     | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|-------------------|---------------------|--|---|--|---|--|--|---|---------------------|-------------------------|---------------------------|------------------------|
| 11                |                     | Reelect Alexandre Lahousse as<br>Director  | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                   |                     | Voting Policy Rationale: * Votes FOR the (re<br>Fabrice Bregier) are warranted in the absen<br>non-independent nominees (Ross McInnes,<br>of board independence (including all board<br>representatives, employee representatives,<br>recommended) and the absence of specific | ,<br>ce of specific con<br>Olivier Andries al<br>members: 50.0 p<br>and employee sh | ncerns (Items<br>nd Alexandre<br>Percent vs 33<br>Pareholder re  | s 6, 9 and 1<br>e Lahousse)<br>.3 percent r<br>presentative | 0). * Votes F<br>are warrante<br>ecommende | OR the (re)elected given the said; excluding go        | ctions of the<br>tisfactory le<br>overnment | ese                 |                         |                           |                        |
|                   |                     | Blended Rationale: * Votes FOR the (re)elee<br>Bregier) are warranted in the absence of sp<br>non-independent nominees (Ross McInnes,<br>of board independence (including all board<br>representatives, employee representatives,<br>recommended) and the absence of specific  | ecific concerns (1<br>Olivier Andries al<br>members: 50.0 p<br>and employee sh      | items 6, 9 an<br>Ind Alexandre<br>Iercent vs 33<br>Iareholder re | nd 10). * Vo<br>e Lahousse)<br>.3 percent r<br>presentative | tes FOR the<br>are warrante<br>recommende  | (re)elections of<br>ed given the sa<br>d; excluding go | f these<br>tisfactory le<br>overnment       |                     |                         |                           |                        |
| 12                |                     | Approve Compensation of Ross<br>McInnes, Chairman of the Board   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 13                |                     | Approve Compensation of Olivier Andries, CEO   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 14                |                     | Approve Compensation Report of<br>Corporate Officers   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 15                |                     | Approve Remuneration Policy of Chairman of the Board   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 16                |                     | Approve Remuneration Policy of CEO   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 17                |                     | Approve Remuneration Policy of Directors   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
| 18                |                     | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital  | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                   |                     | Extraordinary Business   | Mgmt  | No   |   |  |  |   |                     |                         |                           |                        |
| 19                |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities with<br>Preemptive Rights up to Aggregate<br>Nominal Amount of EUR 20 Million  | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                   |                     | Voting Policy Rationale: * Votes FOR Items with and without preemptive rights.   | 19-23 are warrar  | nted as they   | respect the   | 50/10 percei                               | nt limits for au                                       | thorizations                                |                     |                         |                           |                        |
|                   |                     | Blended Rationale: * Votes FOR Items 19-2 without preemptive rights.   | 3 are warranted a   | as they respo  | ect the 50/1  | 0 percent lin                              | nits for authoriz                                      | ations with                                 | and                 |                         |                           |                        |
| 20                |                     | Authorize Issuance of Equity or<br>Equity-Linked Securities without<br>Preemptive Rights up to Aggregate<br>Nominal Amount of EUR 8 Million  | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                   |                     | Voting Policy Rationale: * Votes FOR Items with and without preemptive rights.   | 19-23 are warrar  | nted as they   | respect the   | 50/10 percei                               | nt limits for au                                       | thorizations                                |                     |                         |                           |                        |
|                   |                     | Blended Rationale: * Votes FOR Items 19-2 without preemptive rights.   | 3 are warranted a   | as they respo  | ect the 50/1  | 0 percent lin                              | nits for authoriz                                      | rations with                                | and                 |                         |                           |                        |
| 21                |                     | Authorize Capital Increase of Up to<br>EUR 8 Million for Future Exchange<br>Offers   | Mgmt  | Yes  | For   | For  |  | For   | For                 | No                      | No                        | No                     |
|                   |                     | Voting Policy Rationale: * Votes FOR Items with and without preemptive rights.   | 19-23 are warrar  | nted as they   | respect the   | 50/10 percei                               | nt limits for aud                                      | thorizations                                |                     |                         |                           |                        |
|                   |                     | Blended Rationale: * Votes FOR Items 19-2 without preemptive rights.   | 3 are warranted a   | as they respo  | ect the 50/1  | 0 percent lin                              | nits for authoriz                                      | rations with                                | and                 |                         |                           |                        |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

| Proposal<br>Number            | Significant<br>Vote       | Proposal Text   |                 | Proponent      | Votable<br>Proposal | Mgmt<br>Rec   | ISS Rec      | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|-------------------------------|---------------------------|---|-----------------|----------------|---------------------|---------------|--------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 22                            |                           | Approve Issuance of Equity or<br>Equity-Linked Securities for Pri<br>Placements, up to Aggregate N<br>Amount of EUR 8 Million     |                 | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
|                               |                           | Voting Policy Rationale: * Votes<br>with and without preemptive rig   |                 | -23 are warran | ted as they         | respect the S | 50/10 percen | t limits for aut            | horizations             |                     |                         |                           |                        |
|                               |                           | Blended Rationale: * Votes FOR without preemptive rights.   | R Items 19-23 a | re warranted a | as they respe       | ect the 50/10 | percent limi | its for authoriz            | ations with a           | nnd                 |                         |                           |                        |
| 23                            |                           | Authorize Board to Increase Ca<br>the Event of Additional Deman<br>Related to Delegation Submitte<br>Shareholder Vote Under Items | d<br>ed to      | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
|                               |                           | Voting Policy Rationale: * Votes<br>with and without preemptive rig   |                 | -23 are warran | ted as they         | respect the S | 50/10 percen | t limits for aut            | horizations             |                     |                         |                           |                        |
|                               |                           | Blended Rationale: * Votes FOR without preemptive rights.   | R Items 19-23 a | re warranted a | as they respe       | ect the 50/10 | percent limi | its for authoriz            | ations with a           | and                 |                         |                           |                        |
| 24                            |                           | Authorize Capitalization of Res<br>Up to EUR 12.5 Million for Bon<br>or Increase in Par Value                                     |                 | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 25                            |                           | Authorize Capital Issuances for<br>Employee Stock Purchase Plan   |                 | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 26                            |                           | Authorize Decrease in Share Covia Cancellation of Repurchase Shares   | •               | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 27                            |                           | Authorize up to 0.4 Percent of<br>Capital for Use in Restricted St<br>Plans With Performance Condit<br>Attached                   | ock             | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 28                            |                           | Authorize up to 0.3 Percent of<br>Capital for Use in Restricted St<br>Plans   |                 | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| 29                            |                           | Authorize Filing of Required Documents/Other Formalities  |                 | Mgmt           | Yes                 | For           | For          |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                   | ils                       |   |                 |                |                     |               |              |                             |                         |                     |                         |                           |                        |
| Institutional<br>(IA Name, IA | Account Detail<br>Number) | Custodian<br>Account Number   | Ballot Status   | Instructed     | d                   | Approve       | d            | Ballot Voting               | Status                  | Votable Si          | hares                   | Shares 1                  | /oted                  |
| FORUM ONE S<br>CHAMP, 45863   | QUARE MEGATREN            | ND 0045863  | Confirmed       | Auto-Instru    | ıcted               | Auto-App      | roved        | Issuer Confirm              | ed                      | 27,15               | 8                       | 27,1                      | 58                     |
| CHAPIP, 45003                 | ,                         |   |                 | 05/03/2023     | 3                   | 05/09/202     | 23           | 06/15/2023                  |                         |                     |                         |                           |                        |
|                               |                           |   |                 |                |                     |               |              |                             | Total Shares:           | 27,15               | 58                      | 27,1                      | 58                     |

#### **Sartorius Stedim Biotech SA**

Meeting Date: 03/27/2023 Country: France Ticker: DIM Proxy Level: N/A

Record Date: 03/23/2023 Meeting Type: Annual/Special Meeting ID: 1713010

 Primary Security ID: F8005V210
 Primary CUSIP: F8005V210
 Primary ISIN: FR0013154002
 Primary SEDOL: BYZ2QP5

#### Sartorius Stedim Biotech SA

Total Ballots: 1

Earliest Cutoff Date: 03/20/2023

\*Shares on Loan: 0 Shares Instructed: 8.694 Shares Voted: 8.694 Votable Shares: 8.694 **Additional** Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS **Ordinary Business** No Mgmt Approve Financial Statements and No 1 Mamt Yes For For For For No No Discharge Directors Voting Policy Rationale: Votes FOR these proposals are warranted, given the unqualified opinion and the lack of controversy. Blended Rationale: Votes FOR these proposals are warranted, given the unqualified opinion and the lack of controversy. Approve Consolidated Financial For For No Mgmt Yes For For No No Statements and Statutory Reports Voting Policy Rationale: Votes FOR these proposals are warranted, given the unqualified opinion and the lack of controversy. Blended Rationale: Votes FOR these proposals are warranted, given the unqualified opinion and the lack of controversy. Approve Allocation of Income and Mgmt Yes For For For For No No No Dividends of EUR 1.44 per Share Approve Auditors' Special Report on Mgmt Yes For For For For No No No Related-Party Transactions Mentioning the Absence of New Transactions Approve Remuneration Policy of No No Mamt Yes For For For For No Directors; Approve Remuneration of Directors in the Aggregate Amount of EUR 325,800 Approve Compensation Report of No Mamt Yes For For For For No No Corporate Officers Approve Compensation of Joachim Mgmt Yes For For For No No No For Kreuzburg, Chairman and CEO Voting Policy Rationale: Votes FOR are warranted but not without concerns as: \* There is a limited disclosure of the achievement levels for STI; \* There is no disclosure of the achievement levels for LTI. Main reason for support is the absence of any other significant concerns, and that the limited disclosure of LTI can be explained by the change in approach since last Blended Rationale: Votes FOR are warranted but not without concerns as: \* There is a limited disclosure of the achievement levels for STI; \* There is no disclosure of the achievement levels for LTI. Main reason for support is the absence of any other significant concerns, and that the limited disclosure of LTI can be explained by the change in approach since last year. Approve Remuneration Policy of Mamt Yes For Against Against Against Yes Nο Nο Chairman and CEO Voting Policy Rationale: Votes AGAINST these remuneration policies are warranted because: \* The bonus opportunity base salary for the CEO and Vice-CEO increased and the company failed to provide a compelling rationale; \* The company fails to disclose a binding bonus and LTIP cap; \* The remuneration granted is paid by Sartorius AG then reinvoiced; \* Accelerated or full vesting of unvested awards in case of departure cannot be excluded; Blended Rationale: Votes AGAINST these remuneration policies are warranted because: \* The bonus opportunity base salary for the CEO and Vice-CEO increased and the company failed to provide a compelling rationale; \* The company fails to disclose a binding bonus and LTIP cap; \* The remuneration granted is paid by Sartorius AG then reinvoiced; \* Accelerated or full vesting of unvested awards in case of departure cannot be excluded; Approve Compensation of Rene Faber, Yes For For For No No No Mgmt For Vice-CEO Voting Policy Rationale: Votes FOR are warranted but not without concerns as: \* There is a limited disclosure of the achievement levels for STI; \* There is no disclosure of the achievement levels for LTI. Main reason for support is the absence of any other significant concerns, and that the limited disclosure of LTI can be explained by the change in approach since last Blended Rationale: Votes FOR are warranted but not without concerns as: \* There is a limited disclosure of the achievement levels for STI; \* There is no disclosure of the achievement levels for LTI. Main reason for support is the absence of any other significant concerns, and that the limited disclosure of LTI can be explained by the change in approach since last year.

Voting Policy: ISS

Additional Policy:

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Sartorius Stedim Biotech SA**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent                        | Votable<br>Proposal            | Mgmt<br>Rec  | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|--------------------|---------------------|---|----------------------------------|--------------------------------|--------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| 10                 |                     | Approve Remuneration Policy of Vice-CEO   | Mgmt                             | Yes                            | For          | Against        |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: Votes AGAINST these salary for the CEO and Vice-CEO increased and disclose a binding bonus and LTIP cap; * The full vesting of unvested awards in case of depart       | the company<br>remuneration g    | failed to pro<br>granted is pa | vide a comp  | elling rationa | ale; * The com              | pany fails to           |                     |                         |                           |                        |
|                    |                     | Blended Rationale: Votes AGAINST these remu<br>for the CEO and Vice-CEO increased and the co<br>a binding bonus and LTIP cap; * The remunera<br>vesting of unvested awards in case of departure | ompany failed<br>ation granted i | to provide a<br>s paid by Sai  | compelling i | rationale; * 7 | The company f               | ails to disclo          |                     |                         |                           |                        |
| 11                 |                     | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital   | Mgmt                             | Yes                            | For          | Against        |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                    |                     | Voting Policy Rationale: This resolution warrant takeover period.   | ts a vote AGAI                   | NST as the s                   | share repurc | hase progran   | n can be conti              | nued during             | а                   |                         |                           |                        |
|                    |                     | Blended Rationale: This resolution warrants a value takeover period.  | ote AGAINST                      | as the share                   | repurchase   | program can    | be continued                | during a                |                     |                         |                           |                        |
| 12                 |                     | Authorize Filing of Required Documents/Other Formalities  | Mgmt                             | Yes                            | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR these route   | ine items are v                  | varranted.                     |              |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR these routine it  | ems are warra                    | nted.                          |              |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Extraordinary Business  | Mgmt                             | No                             |              |                |                             |                         |                     |                         |                           |                        |
| 13                 |                     | Approve Issuance of Equity or<br>Equity-Linked Securities Reserved for<br>Specific Beneficiaries, up to Aggregate<br>Nominal Amount of EUR 133,980  | Mgmt                             | Yes                            | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 14                 |                     | Authorize Decrease in Share Capital<br>via Cancellation of Repurchased<br>Shares  | Mgmt                             | Yes                            | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 15                 |                     | Authorize Capital Issuances for Use in<br>Employee Stock Purchase Plans   | Mgmt                             | Yes                            | Against      | Against        |                             | Against                 | Against             | No                      | No                        | No                     |
| 16                 |                     | Authorize Filing of Required<br>Documents/Other Formalities   | Mgmt                             | Yes                            | For          | For            |                             | For                     | For                 | No                      | No                        | No                     |
|                    |                     | Voting Policy Rationale: A vote FOR these route   | ine items are v                  | varranted.                     |              |                |                             |                         |                     |                         |                           |                        |
|                    |                     | Blended Rationale: A vote FOR these routine it  | ems are warra                    | nted.                          |              |                |                             |                         |                     |                         |                           |                        |

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 8,694          | 8,694        |
| G.D. II. / 15005                                     |                             |               | 03/08/2023      | 03/08/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 8,694          | 8,694        |

### Taiwan Semiconductor Manufacturing Co., Ltd.

Meeting Date: 06/06/2023 Country: Taiwan Ticker: 2330 Proxy Level: N/A

Record Date: 04/06/2023 Meeting Type: Annual Meeting ID: 1756723

 Primary Security ID: Y84629107
 Primary CUSIP: Y84629107
 Primary ISIN: TW0002330008
 Primary SEDOL: 6889106

# **Taiwan Semiconductor Manufacturing Co., Ltd.**

| Earliest Cu                | itoff Date: 05/2              | 5/2023 <b>Total I</b>   | Ballots: 1                               |                           |                     | Voting Po          | olicy: ISS     |                             |                         | Additional Po       | olicy:                  |                           |                        |
|----------------------------|-------------------------------|---|--|---------------------------|---------------------|--------------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| Votable Sh                 | nares: 48,995                 | *Share  | es on Loan: 0                            |                           |                     | Shares Ir          | structed: 48,9 | 995                         |                         | Shares Voted        | <b>l:</b> 48,995        |                           |                        |
| Proposal<br>Number         | Significant<br>Vote           | Proposal Text   |  | Proponent                 | Votable<br>Proposal | Mgmt<br>Rec        | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|                            |                               | Meeting for ADR Holders   |  | Mgmt                      | No                  |                    |                |                             |                         |                     |                         |                           |                        |
| 1                          |                               | Approve Business Operations and Financial Statements  | s Report                                 | Mgmt                      | Yes                 | For                | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 2                          |                               | Approve Issuance of Restrict  | ed Stocks                                | Mgmt                      | Yes                 | For                | For            |                             | For                     | For                 | No                      | No                        | No                     |
| 3                          |                               | Amend Procedures for Endor  | sement                                   | Mgmt                      | Yes                 | For                | Against        |                             | Against                 | Against             | Yes                     | No                        | No                     |
|                            |                               | Voting Policy Rationale: A vol<br>expose the company to unne  |  |                           | ,                   | ,                  |                | ,                           | ,                       | 5.                  |                         |                           |                        |
|                            |                               | Blended Rationale: A vote AC the company to unnecessary   |  |                           |                     |                    | -              | •                           |                         | se                  |                         | -                         |                        |
| 4                          |                               | Amend the Name of Audit Co<br>in the Policies (I)Procedures<br>Acquisition or Disposal of As<br>(II)Procedures for Financial<br>Transactions (III)Procedures<br>Lending Funds to Other Part<br>(IV)Procedures for Endorsen<br>Guarantee | for<br>sets<br>Derivatives<br>for<br>ies | Mgmt                      | Yes                 | For                | For            |                             | For                     | For                 | No                      | No                        | No                     |
| Ballot Deta                | ails                          |   |  |                           |                     |                    |                |                             |                         |                     |                         |                           |                        |
| Institutional              | l Account Detail<br>A Number) | Custodian<br>Account Number   | Ballot Status                            | Instructe                 | d                   | Approv             | ed             | Ballot Voting               | Status                  | Votable S           | Shares                  | Shares                    | Voted                  |
| FORUM ONE S<br>CHAMP, 4586 | SQUARE MEGATREI<br>3          | ND 0045863  | Confirmed                                | Auto-Instru<br>05/22/2023 |                     | Auto-Ap<br>05/22/2 |                |                             |                         | 48,99               | 95                      | 48,9                      | 995                    |
|                            |                               |   |  | 03/22/2023                | ,                   | 03/22/21           | ,23            |                             | Total Shares            |                     |                         | 40.6                      | 005                    |
|                            |                               |   |  |                           |                     |                    |                |                             | i Juai Siidres          | 48,99               | 90                      | 48,9                      | 232                    |

## **The Walt Disney Company**

| Meeting Date: 04/03/2               | .023               | Country: USA         |           |                     | Ticker:     | DIS                |                             |                         | Proxy Level         | : 3                     |                           |                        |
|-------------------------------------|--------------------|----------------------|-----------|---------------------|-------------|--------------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|
| <b>Record Date:</b> 02/08/20        | 23                 | Meeting Type: Annual |           |                     | Meeting     | <b>ID:</b> 1713426 | 5                           |                         |                     |                         |                           |                        |
| Primary Security ID: 2              | 254687106          | Primary CUSIP: 25468 | 87106     |                     | Primary     | ISIN: US25         | 46871060                    |                         | Primary SEI         | <b>DOL:</b> 22707       | '26                       |                        |
| Earliest Cutoff Date: 03/3          | 30/2023            | Total Ballots: 1     |           |                     | Voting Po   | olicy: ISS         |                             |                         | Additional Po       | licy:                   |                           |                        |
| Votable Shares: 50,147              |                    | *Shares on Loan: 0   |           |                     | Shares In   | structed: 50,      | 147                         |                         | Shares Voted        | Shares Voted: 50,147    |                           |                        |
| Proposal Significant<br>Number Vote | oposal Significant |                      | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec            | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |
|                                     | Proposal Text      |                      | Proponent |                     | •           | ISS Rec            | Policy                      | Policy                  |                     | Against                 | Against                   |                        |

| Proposal<br>Number | Significant<br>Vote   | Proposal Text   | Proponent      | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |  |
|--------------------|---|---|----------------|---------------------|-------------|---------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|--|
| 1a                 |   | Elect Director Mary T. Barra  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |   | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                |                     |             |         |                             |                         |                     |                         |                           |                        |  |  |
|                    |   | Blended Rationale: A vote FOR the director no.                          | minees is warr | anted.              |             |         |                             |                         |                     |                         | '                         |                        |  |  |
| 1b                 |   | Elect Director Safra A. Catz  | Mgmt           | Yes                 | For         | For     |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    | Voting Policy Rationale: A vote FOR the director nominees is warranted. |   |                |                     |             |         |                             |                         |                     |                         |                           |                        |  |  |
|                    |   | Blended Rationale: A vote FOR the director nominees is warranted.       |                |                     |             |         |                             |                         |                     |                         |                           |                        |  |  |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **The Walt Disney Company**

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |  |
|--------------------|---------------------|---|-----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|--|
| 1c                 |                     | Elect Director Amy L. Chang   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1d                 |                     | Elect Director Francis A. deSouza                                       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1e                 |                     | Elect Director Carolyn N. Everson                                       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1f                 |                     | Elect Director Michael B.G. Froman                                      | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1g                 |                     | Elect Director Robert A. Iger   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1h                 |                     | Elect Director Maria Elena Lagomasino                                   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                          | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1i                 |                     | Elect Director Calvin R. McDonald                                       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1j                 |                     | Elect Director Mark G. Parker   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                        | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1k                 |                     | Elect Director Derica W. Rice   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 2                  |                     | Ratify PricewaterhouseCoopers LLP as<br>Auditors                        | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 3                  |                     | Advisory Vote to Ratify Named<br>Executive Officers' Compensation       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 4                  |                     | Advisory Vote on Say on Pay<br>Frequency                                | Mgmt            | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |  |  |
| 5                  |                     | Report on Risks Related to Operations in China                          | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |  |  |
| 6                  |                     | Report on Charitable Contributions                                      | SH              | Yes                 | Against     | Against  |                             | Against                 | Against             | No                      | No                        | No                     |  |  |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **The Walt Disney Company**

| Proposal<br>Number | Significant<br>Vote | Proposal Text                    | Proponent | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Policy<br>Rec | Policy<br>Rec | Vote<br>Instruction | Against<br>Mgmt | Against<br>Policy | Against<br>ISS |
|--------------------|---------------------|----------------------------------|-----------|---------------------|-------------|---------|---------------|---------------|---------------------|-----------------|-------------------|----------------|
| 7                  |                     | Report on Political Expenditures | SH        | Yes                 | Against     | For     |               | For           | For                 | Yes             | No                | No             |

Additional Voting

Voting Policy Rationale: A vote FOR this proposal is warranted, as a report on the company's congruence of political expenditures with stated values would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks related to its political partnerships.

Blended Rationale: A vote FOR this proposal is warranted, as a report on the company's congruence of political expenditures with stated values would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks related to its political partnerships.

#### **Ballot Details**

| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructed      | Approved      | Ballot Voting Status | Votable Shares | Shares Voted |
|--|-----------------------------|---------------|-----------------|---------------|----------------------|----------------|--------------|
| FORUM ONE SQUARE MEGATREND<br>CHAMP, 45863           | 0045863                     | Confirmed     | Auto-Instructed | Auto-Approved |                      | 50,147         | 50,147       |
| G17411 / 13003                                       |                             |               | 03/10/2023      | 03/10/2023    |                      |                |              |
|  |                             |               |                 |               | Total Shares:        | 50,147         | 50,147       |

#### Thermo Fisher Scientific Inc.

| Meeting Date: 05/24/2023 | Country: USA | Ticker: TMO | Proxy Level: 3 |
|--------------------------|--------------|-------------|----------------|
|--------------------------|--------------|-------------|----------------|

Record Date: 03/27/2023 Meeting Type: Annual Meeting ID: 1741265

 Primary Security ID: 883556102
 Primary CUSIP: 883556102
 Primary ISIN: US8835561023
 Primary SEDOL: 2886907

Earliest Cutoff Date: 05/22/2023 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 9.340 \*Shares on Loan: 0 Shares Instructed: 9.340 Shares Voted: 9.340

| Votable Shares: 9,340 |                     | *Shares on Loan: 0  |                      |                     |             | nstructed: 9,3 | 40                          |                         | Shares Voted: 9,340 |                         |                           |                        |  |
|-----------------------|---------------------|---|----------------------|---------------------|-------------|----------------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|
| Proposal<br>Number    | Significant<br>Vote | Proposal Text   | Proponent            | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec        | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |
| 1a                    |                     | Elect Director Marc N. Casper   | Mgmt                 | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                       |                     | Voting Policy Rationale: A vote FOR the                                 | director nominees is | warranted.          |             |                |                             |                         |                     |                         | -                         |                        |  |
|                       |                     | Blended Rationale: A vote FOR the direct                                | tor nominees is warr | ranted.             |             |                |                             |                         |                     |                         |                           |                        |  |
| 1b                    |                     | Elect Director Nelson J. Chai Mgmt Yes For For For For No               |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
|                       |                     | Voting Policy Rationale: A vote FOR the                                 | director nominees is | warranted.          |             |                |                             |                         |                     |                         | -                         |                        |  |
|                       |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
| 1c                    |                     | Elect Director Ruby R. Chandy   | Mgmt                 | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                       |                     | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
|                       |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
| 1d                    |                     | Elect Director C. Martin Harris   | Mgmt                 | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                       |                     | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
|                       |                     | Blended Rationale: A vote FOR the director nominees is warranted.       |                      |                     |             |                |                             |                         |                     |                         |                           |                        |  |
| 1e                    |                     | Elect Director Tyler Jacks  | Mgmt                 | Yes                 | For         | For            |                             | For                     | For                 | No                      | No                        | No                     |  |
|                       |                     | Voting Policy Rationale: A vote FOR the                                 | director nominees is | warranted.          |             |                |                             |                         |                     |                         | -                         |                        |  |
|                       |                     | Blended Rationale: A vote FOR the direct                                | tor nominees is warr | anted.              |             |                |                             |                         |                     |                         | _                         |                        |  |

### **Thermo Fisher Scientific Inc.**

| Proposal<br>Number            | Significant<br>Vote                          | Proposal Text   |                  | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |  |
|-------------------------------|--|---|------------------|-----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|--|
| 1f                            |  | Elect Director R. Alexandra Ke  | ith              | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote   | FOR the director | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR   | the director noi | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1g                            |  | Elect Director James C. Muller  | 1                | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote   | FOR the directo  | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR   | the director noi | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1h                            |  | Elect Director Lars R. Sorense  | n                | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote   | FOR the directo  | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR the director nominees is warranted.       |                  |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1i                            |  | Elect Director Debora L. Spar   |                  | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote FOR the director nominees is warranted. |                  |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR the director nominees is warranted.       |                  |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1j                            |  | Elect Director Scott M. Sperling Mgmt Yes For For For For No            |                  |                 |                     |             |          |                             |                         |                     |                         |                           | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote   | FOR the directo  | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR   | the director noi | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1k                            |  | Elect Director Dion J. Weisler  |                  | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                               |  | Voting Policy Rationale: A vote   | FOR the directo  | or nominees is  | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  | Blended Rationale: A vote FOR   | the director noi | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 2                             |  | Advisory Vote to Ratify Named<br>Executive Officers' Compensat          |                  | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 3                             |  | Advisory Vote on Say on Pay<br>Frequency                                |                  | Mgmt            | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |  |  |
| 4                             |  | Ratify PricewaterhouseCooper<br>Auditors                                | s LLP as         | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 5                             |  | Amend Omnibus Stock Plan  |                  | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 6                             |  | Approve Qualified Employee S<br>Purchase Plan                           | itock            | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| Ballot Deta                   | ails   |   |                  |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| Institutional<br>(IA Name, IA | Account Detail<br>A Number)                  | Custodian<br>Account Number   | Ballot Voting    | Status          | Votable Sh          | nares       | Shares \ | oted/                       |                         |                     |                         |                           |                        |  |  |
|                               | FORUM ONE SQUARE MEGATREND 0045863 Confirmed |   |                  |                 |                     | Auto-Appr   | roved    |                             |                         | 9,340               |                         | 9,34                      | 0                      |  |  |
| CHAMP, 45863                  | 5  | 05/11/2023 05/12/2023   |                  |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                               |  |   |                  |                 |                     |             |          |                             | Total Shares:           | 9,340               | )                       | 9,34                      | 0                      |  |  |

#### Visa Inc.

Meeting Date: 01/24/2023 Country: USA Ticker: V Proxy Level: 3

**Record Date:** 11/25/2022 Meeting Type: Annual **Meeting ID:** 1702246

Primary Security ID: 92826C839 **Primary CUSIP:** 92826C839 **Primary ISIN:** US92826C8394 Primary SEDOL: B2PZN04

### Visa Inc.

Earliest Cutoff Date: 01/20/2023

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 9,868

\*Shares on Loan: 0

Shares Instructed: 9,868

Shares Voted: 9,868

| Proposal<br>Number | Significant<br>Vote | Proposal Text   | Proponent       | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec  | Additional<br>Policy<br>Rec | Voting<br>Policy<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt | Vote<br>Against<br>Policy | Vote<br>Against<br>ISS |  |  |
|--------------------|---------------------|---|-----------------|---------------------|-------------|----------|-----------------------------|-------------------------|---------------------|-------------------------|---------------------------|------------------------|--|--|
| 1a                 |                     | Elect Director Lloyd A. Carney                                    | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1b                 |                     | Elect Director Kermit R. Crawford                                 | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1c                 |                     | Elect Director Francisco Javier<br>Fernandez-Carbajal             | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | ninees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1d                 |                     | Elect Director Alfred F. Kelly, Jr.                               | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1e                 |                     | Elect Director Ramon Laguarta                                     | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                  | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director non                    | ninees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1f                 |                     | Elect Director Teri L. List                                       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the director                  | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nominees is warranted. |                 |                     |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1g                 |                     | Elect Director John F. Lundgren                                   | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1h                 |                     | Elect Director Denise M. Morrison                                 | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| <b>1</b> i         |                     | Elect Director Linda J. Rendle                                    | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 1j                 |                     | Elect Director Maynard G. Webb, Jr.                               | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
|                    |                     | Voting Policy Rationale: A vote FOR the directo                   | r nominees is   | warranted.          |             |          |                             |                         |                     |                         |                           |                        |  |  |
|                    |                     | Blended Rationale: A vote FOR the director nor                    | minees is warra | anted.              |             |          |                             |                         |                     |                         |                           |                        |  |  |
| 2                  |                     | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |
| 3                  |                     | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt            | Yes                 | One Year    | One Year |                             | One<br>Year             | One Year            | No                      | No                        | No                     |  |  |
| 4                  |                     | Ratify KPMG LLP as Auditors                                       | Mgmt            | Yes                 | For         | For      |                             | For                     | For                 | No                      | No                        | No                     |  |  |

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### Visa Inc.

| Proposal Significant<br>Number Vote                  | Proposal Text               |               | Proponent   | Votable<br>Proposal | Mgmt<br>Rec | ISS Rec | Policy<br>Rec | Policy<br>Rec | Vote<br>Instruction | Against<br>Mgmt | Against<br>Policy | Against<br>ISS |
|--|-----------------------------|---------------|-------------|---------------------|-------------|---------|---------------|---------------|---------------------|-----------------|-------------------|----------------|
| 5  | Require Independent Board   | Chair         | SH          | Yes                 | Against     | Against |               | Against       | Against             | No              | No                | No             |
| Ballot Details                                       |                             |               |             |                     |             |         |               |               |                     |                 |                   |                |
| Institutional Account Detail<br>(IA Name, IA Number) | Custodian<br>Account Number | Ballot Status | Instructe   | d                   | Approve     | ed      | Ballot Voting | Status        | Votable S           | hares           | Shares            | Voted          |
| FORUM ONE SQUARE MEGATREN<br>CHAMP, 45863            | D 0045863                   | Confirmed     | Auto-Instru | ucted               | Auto-App    | proved  |               |               | 9,86                | 8               | 9,8               | 68             |
| , 15005  |                             |               | 01/04/202   | 3                   | 01/04/20    | 23      |               |               |                     |                 |                   |                |
|  |                             |               |             |                     |             |         |               | Total Shares: | 9,86                | <del></del> 8   | 9,8               | <del></del>    |

Additional

Voting

Vote

Vote

#### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups Institution Account(s): All Institution Accounts Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets Meeting ID's: All Meeting ID's Meeting Types: All Meeting Types PoA Markets: All Markets Proposal Category: All Categories Proposal Proponents: All Proponents Proposal Subcategory: All Subcategories Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets

Significant Vote: None

Sort Order: Company Name, Meeting Date

Vote Instructions: All Instructions Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only